



**REGULAR MEETING  
OF THE  
CACHUMA OPERATION AND MAINTENANCE BOARD**

**Monday, November 13, 2023  
1:00 P.M.**

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**HOW TO OBSERVE THE MEETING**

Join by Teleconference or Attend in Person

*COMB follows Centers for Disease Control and Prevention (CDC), California Department of Public Health (CDPH) and local public health guidelines with respect to COVID-19 protocols and masking requirements, based on local conditions and needs. COMB will have available masks for use during public meetings.*

Members of the public may observe the meeting electronically as set forth below.

**Join via Video Conference**

<https://us02web.zoom.us/j/82752531699?pwd=RGxWZzNDZUzQ2JlYnhPbWVhWVhdyZz09>

Passcode: 320689

**Join via Teleconference**

US +1 669 900 6833    Webinar ID: 827 5253 1699    Passcode: 320689

**HOW TO MAKE A PUBLIC COMMENT**

Any member of the public may address the Board on any subject within the jurisdiction of the Board of Directors. The total time for this item will be limited by the President of the Board. The Board is not responsible for the content or accuracy of statements made by members of the public. No action will be taken by the Board on any Public Comment item.

**In person:** Those observing the meeting in person may make comments during designated public comment periods.

**By Video:** Those observing the meeting by video may make comments during designated public comment periods using the “raise hand” feature. Commenters will be required to unmute their respective microphone when providing comments.

**By Telephone:** Those observing the meeting by telephone may make comments during the designated public comment periods by pressing \*9 on the key pad to indicate such interest. Commenters will be prompted to press \*6 to unmute their respective telephone when called upon to speak.

**AMERICANS WITH DISABILITIES ACT**

In compliance with the Americans with Disabilities Act, if you need special assistance to review agenda materials or participate in this meeting, please contact the Cachuma Operation and Maintenance Board office at (805) 687-4011 at least 48 hours prior to the meeting to enable the Board to make reasonable arrangements.

**REGULAR MEETING**  
**OF THE CACHUMA OPERATION AND MAINTENANCE BOARD**  
*held at*  
**3301 Laurel Canyon Road**  
**Santa Barbara, CA 93105**

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**Monday, November 13, 2023**

**1:00 PM**

**AGENDA**

**NOTICE:** This Meeting shall be conducted in-person and through remote access as authorized and in accordance with Government Code section 54953, AB 361 and AB 2449.

- 1. CALL TO ORDER, ROLL CALL**
- 2. PUBLIC COMMENT** *(Public may address the Board on any subject matter within the Board's jurisdiction. See "Notice to the Public" below.)*
- 3. CONSENT AGENDA** *(All items on the Consent Agenda are considered to be routine and will be approved or rejected in a single motion. Any item placed on the Consent Agenda may be removed and placed on the Regular Agenda for discussion and possible action upon the request of any Board Member.)*  
Action: Recommend Approval of Consent Agenda by motion and roll call vote of the Board
  - a. Minutes of October 23, 2023 Regular Board Meeting
  - b. Investment of Funds
    - Financial Reports
    - Investment Reports
  - c. Review of Paid Claims
- 4. FINANCIAL REVIEW – 1<sup>ST</sup> QUARTER FISCAL YEAR 2023-24**  
Action: Receive and file information on the 1<sup>ST</sup> Quarter Fiscal Year 2023-24 Financial Review
- 5. LICENSE AGREEMENT – U.S. BUREAU OF RECLAMATION**  
Action: Recommend approval by motion and roll call vote of the Board
- 6. RESOLUTION NO. 794 – RATIFICATION OF EXPENDITURES FOR RENTAL EQUIPMENT**  
Action: Recommend adoption by motion and roll call vote of the Board
- 7. GENERAL MANAGER REPORT**  
Receive information from the General Manager on topics pertaining to COMB, including but not limited to the following:
  - Administration
  - Virtual Meetings
- 8. ENGINEER AND OPERATIONS DIVISION REPORT**  
Receive verbal information from the COMB Engineer, including but not limited to, the following:
  - Lake Cachuma Operations
  - Operation and Maintenance Activities

**9. FISHERIES DIVISION REPORT**

Receive verbal information from the Fisheries Division Manager, including, but not limited to the following:

- LSYR Steelhead Monitoring Elements
- Surcharge Water Accounting
- Reporting/Outreach/Training

**10. MONTHLY CACHUMA PROJECT REPORTS**

Receive information regarding the Cachuma Project, including but not limited to the following:

- a. Cachuma Reservoir Current Conditions
- b. Lake Cachuma Quagga Survey

**11. DIRECTORS' REQUESTS FOR AGENDA ITEMS FOR FUTURE MEETING**

**12. MEETING SCHEDULE**

- **Regular Board Meeting – December 18, 2023 at 1:00 PM**
- **Board Packages available on COMB website [www.cachuma-board.org](http://www.cachuma-board.org)**

**13. COMB ADJOURNMENT**

**NOTICE TO PUBLIC**

**Posting of Agenda:** This agenda was posted at COMB's offices, located at 3301 Laurel Canyon Road, Santa Barbara, California, 93105 and on COMB's website, in accordance with Government Code Section 54954.2. The agenda contains a brief general description of each item to be considered by the Governing Board. The Board reserves the right to modify the order in which agenda items are heard. Copies of staff reports or other written documents relating to each item of business are on file at the COMB offices and are available for public inspection during normal business hours. A person with a question concerning any of the agenda items may call COMB's General Manager at (805) 687-4011.

**Written materials:** In accordance with Government Code Section 54957.5, written materials relating to an item on this agenda which are distributed to the Governing Board less than 72 hours (for a regular meeting) or 24 hours (for a special meeting) will be made available for public inspection at the COMB offices during normal business hours. The written materials may also be posted on COMB's website subject to staff's ability to post the documents before the scheduled meeting.

**Public Comment:** Any member of the public may address the Board on any subject within the jurisdiction of the Board. The total time for this item will be limited by the President of the Board. The Board is not responsible for the content or accuracy of statements made by members of the public. No action will be taken by the Board on any Public Comment item.

**Americans with Disabilities Act:** In compliance with the Americans with Disabilities Act, if you need special assistance to review agenda materials or participate in this meeting, please contact the Cachuma Operation and Maintenance Board office at (805) 687-4011 at least 48 hours prior to the meeting to enable the Board to make reasonable arrangements.

**Note:** If you challenge in court any of the Board's decisions related to the listed agenda items you may be limited to raising only those issues you or someone else raised at any public hearing described in this notice or in written correspondence to the Governing Board prior to the public hearing.

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**MINUTES OF REGULAR MEETING  
OF THE CACHUMA OPERATION AND MAINTENANCE BOARD**  
*held at*  
**3301 Laurel Canyon Road  
Santa Barbara, CA 93105  
Monday, October 23, 2023  
1:00 PM**

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**MINUTES**

**1. CALL TO ORDER, ROLL CALL**

The regular meeting of the Board of Directors was called to order by President Holcombe at 1:03 PM.

**Directors Present:**

Polly Holcombe, Carpinteria Valley Water District

Kristen Sneddon, City of Santa Barbara

Lauren Hanson, Goleta Water District

Cori Hayman, Montecito Water District\*

*\*Director Hayman joined the meeting at 1:46 PM*

**General Counsel Present:**

William Carter - Musick, Peeler, Garrett, LLP

**Staff Present:**

Janet Gingras, General Manager

Edward Lyons, Administrative Manager/CFO

Joel Degner, Engineer/Operations Division Manager

Scott Engblom, Fisheries Senior Biologist

Shane King, Ops Supervisor, Chief  
Distribution Operator

Elijah Papen, Senior Program Analyst

Dorothy Turner, Administrative Assistant II

**Others Present:**

Dana Hoffenberg, City of Santa Barbara

Matthew Scudato, COSB Water Agency

Matt Young, COSB Water Agency

**2. PUBLIC COMMENT**

There was no public comment.

**3. CONSENT AGENDA**

- a. Minutes of September 25, 2023 Regular Board Meeting
- b. Investment of Funds
  - Financial Reports
  - Investment Reports
- c. Review of Paid Claims

Ms. Gingras presented the Consent Agenda and invited Mr. Lyons to comment on the Financial Statements. Mr. Lyons reviewed revenues received and noted a number of expenditures, including remittances to Barlett, Pringle, Wolf, Otis Elevator Company, Geosyntec, Flowers and Associates and Peter Lapidus Construction. Mr. Lyons fielded questions from the Board.

President Holcombe solicited a motion to approve the Consent Agenda which was provided by Direct Hanson and seconded by Director Sneddon. The motion carried with a vote of five in favor and one absent.

**Ayes:** Sneddon, Hanson, Holcombe  
**Nays:**  
**Absent:** Hayman  
**Abstain:**

**4. VERBAL REPORTS FROM BOARD COMMITTEES**

- Fisheries Committee Meeting – October 17, 2023

Director Hanson reported out from the Fisheries Committee, stating that the committee received a presentation of the Renewal Fund and Warren Act Trust Fund Long Term and Annual Plan which is addressed next on the agenda. As well, the committee received an update on the storm repairs at Quiota Creek Crossings 5 and 9.

**5. CACHUMA PROJECT MASTER CONTRACT RENEWAL FUND AND WARREN ACT TRUST FUND – LONG-TERM AND ANNUAL PLAN / SANTA BARBARA COUNTY BETTERMENT FUND**

Ms. Gingras introduced Mr. Lyons to present the Renewal Fund and Warren Act Trust Fund Long-Term and Annual Plan report and Betterment Fund. Mr. Lyons summarized the plan and reviewed the calculations and sources of revenue to the funds. He recapped the results of the Funds committee meeting and itemized the expenditures proposed. Finally, Mr. Lyons reported the results of the Betterment Fund meeting. Mr. Lyons received compliments from the Board on his report.

Director Sneddon motioned to receive and file the plan and approve the expenditures outlined in the plan. Director Hanson seconded the motion which carried with a vote of five in favor and one absent.

**Ayes:** Sneddon, Hanson, Holcombe  
**Nays:**  
**Absent:** Hayman  
**Abstain:**

**6. GENERAL MANAGER REPORT**

- Administration
- Staff Training

Ms. Gingras presented the General Manager report and reviewed the contracts executed over the past quarter. She invited Mr. Papen to comment on the ACWA JPIA Leadership Training he had just completed. Mr. Papen thanked the Board for the opportunity to participate in the year long program and noted that it had been a great learning experience.

**7. ENGINEER'S REPORT**

- Climate Conditions
- Lake Elevation Projection
- South Coast Conduit GIS Mapping
- Winter Storm Preparation
- Infrastructure Improvement Projects

Mr. Degner presented current data regarding climate conditions and lake elevation. Models suggest that installation of the pumping barge may not be required for approximately two years. Noting that there has been earth movement on the south coast since the conduit was installed, Mr. Degner reported that staff is utilizing GIS and other software to re-map the conduit's location. The project has so far been

able to prove the conduit's location to within a few centimeters. Finally, he provided updates on FEMA obligated and potential reimbursements and the status of the lateral project. Mr. Degner fielded questions and comments from the Board.

**8. OPERATIONS DIVISION REPORT**

- Lake Cachuma Operations
- Operation and Maintenance Activities

Mr. King provided the Board with an update of Operations activities, including fish screen cleaning, the removal of a no longer needed meter at Stow Ranch and the installation of a new subpanel for COMB's electric vehicle charging station. As well, he reported that staff monitored the storm repairs underway at Lauro Reservoir and were performing annual structure maintenance.

**9. FISHERIES DIVISION REPORT**

- LSYR Steelhead Monitoring Elements
- Surcharge Water Accounting
- Reporting/Outreach/Training

Mr. Engblom presented the Fisheries Division report. He reviewed the various monitoring and survey activities and also reported that target flows remain above minimums. Mr. Engblom provided an update on the storm repairs at the Quiota Creek crossing and reported that Reclamation is scheduled to replace the second 30" valve in the outlet works at Bradbury Dam. Ms. Gingras advised the Board that staff proposed a potential plan to Reclamation intended to conserve released water while the valve is replaced.

**10. PROGRESS REPORT ON LAKE CACHUMA OAK TREE PROGRAM**

- Maintenance and Monitoring

Mr. Engblom reported that the Oak Tree program was in a maintenance phase with ongoing irrigation, mulching and weeding.

**11. MONTHLY CACHUMA PROJECT REPORTS**

- a. Cachuma Water Reports
- b. Cachuma Reservoir Current Conditions
- c. Lake Cachuma Quagga Survey

Ms. Gingras presented the Cachuma Project reports, advising that they represent the final month of the 2022-23 water year. She noted that total project water available will carry over into the new water year and be combined with the new water year allocation.

**12. DIRECTORS' REQUESTS FOR AGENDA ITEMS FOR FUTURE MEETING**

There were no requests from Directors for agenda items.

**13. MEETING SCHEDULE**

- **Regular Board Meeting – November 13, 2023 at 1:00 PM**
- **Board Packages available on COMB website [www.cachuma-board.org](http://www.cachuma-board.org)**

**15. COMB ADJOURNMENT**

There being no further business, the meeting was adjourned at 2:07 PM.

Respectfully submitted,

\_\_\_\_\_  
Janet Gingras, Secretary of the Board

	<i>Approved</i>
√	<i>Unapproved</i>

**APPROVED:**

\_\_\_\_\_  
Polly Holcombe, President of the Board



**Cachuma Operation & Maintenance Board  
Statement of Net Position**

As of October 31, 2023  
UNAUDITED FINANCIALS

October 31, 2023

**ASSETS**

<b>Current Assets</b>		
<b>Checking/Savings</b>		
<b>Trust Funds</b>		
1210 · Warren Act Trust Fund	\$ 290,036.59	
1220 · Renewal Fund	163,316.95	
<b>Total Trust Funds</b>		\$ 453,353.54
1050 · General Fund		550,436.64
1100 · Revolving Fund		48,852.48
<b>Total Checking/Savings</b>		1,052,642.66
<b>Accounts Receivable</b>		
1301 · Accounts Receivable		1,570.00
1320 · Quarterly Assessments Receivable		557,570.00
<b>Total Accounts Receivable</b>		559,140.00
<b>Other Current Assets</b>		
1200 · LAIF		1,437,752.62
1010 · Petty Cash		500.00
1303 · Bradbury SOD Act Assessments Receivable		228,082.99
1304 · Lauro Dam SOD Assessments Receivable		34,757.91
1400 · Prepaid Insurance		12,155.72
<b>Total Other Current Assets</b>		1,713,249.24
<b>Total Current Assets</b>		3,325,031.90
<b>Fixed Assets</b>		
1500 · Vehicles		726,893.50
1505 · Office Furniture & Equipment		248,483.73
1510 · Mobile Offices		424,910.38
1515 · Field Equipment		552,543.06
1520 · Building Improvements		62,263.00
1524 · Infrastructure		4,751,941.69
1550 · Accumulated Depreciation		(1,143,966.94)
<b>Total Fixed Assets</b>		5,623,068.42
<b>Other Assets</b>		
1910 · Long Term Bradbury SOD Act Assessments Receivable		3,608,470.07
1920 · Long Term Lauro SOD Act Assessments Receivable		670,778.85
1922 · Deferred Outflow of Resources (GASB 68)		1,136,753.00
1923 · Deferred Outflow (GASB 75)		652,844.00
<b>Total Other Assets</b>		6,068,845.92
<b>TOTAL ASSETS</b>		<b>\$ 15,016,946.24</b>

**Cachuma Operation & Maintenance Board  
Statement of Net Position**

As of October 31, 2023  
UNAUDITED FINANCIALS

October 31, 2023

**LIABILITIES & NET POSITION**

**Liabilities**

**Current Liabilities**

**Accounts Payable**

2200 · Accounts Payable \$ 47,693.89

**Total Accounts Payable** 47,693.89

**Other Current Liabilities**

2505 · Accrued Wages 35,286.11

2550 · Vacation/Sick 254,076.70

2561 · Bradbury Dam SOD Act 228,082.99

2563 · Lauro Dam SOD Act 34,757.91

2565 · Accrued Interest SOD Act 34,659.00

2590 · Deferred Revenue 453,353.54

2594 · Deferred Revenue - Assessments 1,240,371.07

**Total Other Current Liabilities** 2,280,587.32

**Total Current Liabilities** 2,328,281.21

**Long Term Liabilities**

2602 · Long Term SOD Act Liability-Bradbury 3,608,460.07

2603 · Long Term SOD Act Liability - Lauro 670,778.85

2604 · OPEB Long Term Liability 3,378,115.00

2610 · Net Pension Liability (GASB 68) 2,500,359.00

2611 · Deferred Inflow of Resources (GASB 68) 129,899.00

2612 · Deferred Inflow of Resources (GASB 75) 1,035,632.00

**Total Long Term Liabilities** 11,323,243.92

**Total Liabilities** 13,651,525.13

**Net Position**

3000 · Opening Balance Net Position (5,296,580.05)

3901 · Retained Net Assets 6,247,122.43

**Net Surplus / Deficit** 414,878.73

**Total Net Position** 1,365,421.11

**TOTAL LIABILITIES & NET POSITION** \$ **15,016,946.24**

**Cachuma Operation & Maintenance Board**  
**Statement of Revenues and Expenditures (Unaudited)**  
 Budget vs. Actuals July 2023 - June 2024

	Fisheries				Operations				TOTAL			
	Jul - Oct 23	Budget	\$ Over / (Under) Budget	% of Budget	Jul - Oct 23	Budget	\$ Over / (Under) Budget	% of Budget	Jul - Oct 23	Budget	\$ Over / (Under) Budget	% of Budget
<b>Revenue</b>												
<b>3000 REVENUE</b>												
3001 · O&M Budget (Qtrly Assessments)	\$ 492,390.00	\$ 1,146,671.00	\$ (654,281.00)	42.94%	\$ 1,542,075.00	\$ 3,782,312.00	\$ (2,240,237.00)	40.77%	\$ 2,034,465.00	\$ 4,928,983.00	\$ (2,894,518.00)	41.28%
3006 · Warren Act	0.00	210,786.00	-210,786.00	0.0%	0.00				0.00	210,786.00	-210,786.00	0.0%
3007 · Renewal Fund	18,568.31	76,456.00	-57,887.69	24.29%	0.00				18,568.31	76,456.00	-57,887.69	24.29%
3010 · Interest Income	0.00				14,437.65	0.00	14,437.65	100.0%	14,437.65	0.00	14,437.65	100.0%
3020 · Misc Income	0.00				500.00	20,000.00	-19,500.00	2.5%	500.00	20,000.00	-19,500.00	2.5%
3021 · Grant Income	0.00				480.00	0.00	480.00	100.0%	480.00	0.00	480.00	100.0%
3035 · Cachuma Project Betterment Fund	0.00	100,000.00	-100,000.00	0.0%	0.00				0.00	100,000.00	-100,000.00	0.0%
3046 · CVWD Cooperative Agrmnt Funding	0.00				0.00	550,000.00	-550,000.00	0.0%	0.00	550,000.00	-550,000.00	0.0%
3047 · 2023 Winter Storms	0.00				0.00	1,101,563.00	-1,101,563.00	0.0%	0.00	1,101,563.00	-1,101,563.00	0.0%
<b>Total 3000 REVENUE</b>	<b>\$ 510,958.31</b>	<b>\$ 1,533,913.00</b>	<b>\$ (1,022,954.69)</b>	<b>33.31%</b>	<b>\$ 1,557,492.65</b>	<b>\$ 5,453,875.00</b>	<b>\$ (3,896,382.35)</b>	<b>28.56%</b>	<b>\$ 2,068,450.96</b>	<b>\$ 6,987,788.00</b>	<b>\$ (4,919,337.04)</b>	<b>29.6%</b>
<b>Expense</b>												
3100 · LABOR - OPERATIONS	\$ -	\$ -	\$ -	0.0%	\$ 369,636.93	\$ 1,220,348.00	\$ (850,711.07)	30.29%	\$ 369,636.93	\$ 1,220,348.00	\$ (850,711.07)	30.29%
<b>3200 VEH &amp; EQUIPMENT</b>												
3201 · Vehicle/Equip Mtce	0.00				12,757.06	40,000.00	-27,242.94	31.89%	12,757.06	40,000.00	-27,242.94	31.89%
3202 · Fixed Capital	0.00				6,540.83	200,000.00	-193,459.17	3.27%	6,540.83	200,000.00	-193,459.17	3.27%
3203 · Equipment Rental	0.00				116.05	40,000.00	-39,883.95	0.29%	116.05	40,000.00	-39,883.95	0.29%
3204 · Miscellaneous	0.00				0.00	10,000.00	-10,000.00	0.0%	0.00	10,000.00	-10,000.00	0.0%
<b>Total 3200 VEH &amp; EQUIPMENT</b>	<b>0.00</b>				<b>19,413.94</b>	<b>290,000.00</b>	<b>-270,586.06</b>	<b>6.69%</b>	<b>19,413.94</b>	<b>290,000.00</b>	<b>-270,586.06</b>	<b>6.69%</b>
<b>3300 · CONTRACT LABOR</b>												
3301 · Conduit, Meter, Valve & Misc	0.00				6,654.56	35,000.00	-28,345.44	19.01%	6,654.56	35,000.00	-28,345.44	19.01%
3302 · Buildings & Roads	0.00				10,944.25	25,000.00	-14,055.75	43.8%	10,944.25	25,000.00	-14,055.75	43.8%
3303 · Reservoirs	0.00				18,553.73	60,000.00	-41,446.27	30.92%	18,553.73	60,000.00	-41,446.27	30.92%
3304 · Engineering, Misc Services	0.00				0.00	40,000.00	-40,000.00	0.0%	0.00	40,000.00	-40,000.00	0.0%
<b>Total 3300 · CONTRACT LABOR</b>	<b>0.00</b>				<b>36,152.54</b>	<b>160,000.00</b>	<b>-123,847.46</b>	<b>22.6%</b>	<b>36,152.54</b>	<b>160,000.00</b>	<b>-123,847.46</b>	<b>22.6%</b>
<b>3400 · MATERIALS &amp; SUPPLIES</b>												
3401 · Conduit, Meter, Valve & Misc	0.00				5,606.61	70,000.00	-64,393.39	8.01%	5,606.61	70,000.00	-64,393.39	8.01%
3402 · Buildings & Roads	0.00				0.00	20,000.00	-20,000.00	0.0%	0.00	20,000.00	-20,000.00	0.0%
3403 · Reservoirs	0.00				2,591.32	10,000.00	-7,408.68	25.91%	2,591.32	10,000.00	-7,408.68	25.91%
<b>Total 3400 · MATERIALS &amp; SUPPLIES</b>	<b>0.00</b>				<b>8,197.93</b>	<b>100,000.00</b>	<b>-91,802.07</b>	<b>8.2%</b>	<b>8,197.93</b>	<b>100,000.00</b>	<b>-91,802.07</b>	<b>8.2%</b>
<b>3500 · OTHER EXPENSES</b>												
3501 · Utilities	0.00				1,475.84	7,000.00	-5,524.16	21.08%	1,475.84	7,000.00	-5,524.16	21.08%
3502 · Uniforms	0.00				2,919.66	5,750.00	-2,830.34	50.78%	2,919.66	5,750.00	-2,830.34	50.78%
3503 · Communications	0.00				3,357.74	15,800.00	-12,442.26	21.25%	3,357.74	15,800.00	-12,442.26	21.25%
3504 · USA & Other Services	0.00				2,303.29	7,250.00	-4,946.71	31.77%	2,303.29	7,250.00	-4,946.71	31.77%
3505 · Miscellaneous	0.00				3,257.48	12,000.00	-8,742.52	27.15%	3,257.48	12,000.00	-8,742.52	27.15%
3506 · Training	0.00				0.00	3,000.00	-3,000.00	0.0%	0.00	3,000.00	-3,000.00	0.0%
3507 · Permits	0.00				1,964.00	25,000.00	-23,036.00	7.86%	1,964.00	25,000.00	-23,036.00	7.86%
<b>Total 3500 · OTHER EXPENSES</b>	<b>0.00</b>				<b>15,278.01</b>	<b>75,800.00</b>	<b>-60,521.99</b>	<b>20.16%</b>	<b>15,278.01</b>	<b>75,800.00</b>	<b>-60,521.99</b>	<b>20.16%</b>

**Cachuma Operation & Maintenance Board**  
**Statement of Revenues and Expenditures (Unaudited)**  
 Budget vs. Actuals July 2023 - June 2024

	Fisheries				Operations				TOTAL			
	Jul - Oct 23	Budget	\$ Over / (Under) Budget	% of Budget	Jul - Oct 23	Budget	\$ Over / (Under) Budget	% of Budget	Jul - Oct 23	Budget	\$ Over / (Under) Budget	% of Budget
4100 · LABOR - FISHERIES	287,931.80	824,382.00	-536,450.20	34.93%	0.00				287,931.80	824,382.00	-536,450.20	34.93%
4200 · VEHICLES & EQUIP - FISHERIES												
4270 · Vehicle/Equip Mtce	8,157.52	30,000.00	-21,842.48	27.19%	0.00				8,157.52	30,000.00	-21,842.48	27.19%
4280 · Fixed Capital	0.00	20,000.00	-20,000.00	0.0%	0.00				0.00	20,000.00	-20,000.00	0.0%
4290 · Miscellaneous	0.00	2,500.00	-2,500.00	0.0%	0.00				0.00	2,500.00	-2,500.00	0.0%
<b>Total 4200 · VEHICLES &amp; EQUIP - FISHERIES</b>	<b>8,157.52</b>	<b>52,500.00</b>	<b>-44,342.48</b>	<b>15.54%</b>	<b>0.00</b>				<b>8,157.52</b>	<b>52,500.00</b>	<b>-44,342.48</b>	<b>15.54%</b>
4220 · CONTRACT LABOR - FISHERIES												
4221 · Meters & Valves	321.43	3,000.00	-2,678.57	10.71%	0.00				321.43	3,000.00	-2,678.57	10.71%
4222 · Fish Projects Maintenance	0.00	11,100.00	-11,100.00	0.0%	0.00				0.00	11,100.00	-11,100.00	0.0%
<b>Total 4220 · CONTRACT LABOR - FISHERIES</b>	<b>321.43</b>	<b>14,100.00</b>	<b>-13,778.57</b>	<b>2.28%</b>	<b>0.00</b>				<b>321.43</b>	<b>14,100.00</b>	<b>-13,778.57</b>	<b>2.28%</b>
4300 · MATERIALS/SUPPLIES - FISHERIES												
4390 · Miscellaneous	604.69	8,000.00	-7,395.31	7.56%	0.00				604.69	8,000.00	-7,395.31	7.56%
<b>Total 4300 · MATERIALS/SUPPLIES - FISHERIES</b>	<b>604.69</b>	<b>8,000.00</b>	<b>-7,395.31</b>	<b>7.56%</b>	<b>0.00</b>				<b>604.69</b>	<b>8,000.00</b>	<b>-7,395.31</b>	<b>7.56%</b>
4500 · OTHER EXPENSES - FISHERIES												
4502 · Uniforms	221.85	5,000.00	-4,778.15	4.44%	0.00				221.85	5,000.00	-4,778.15	4.44%
4503 · Permits	93.96	5,000.00	-4,906.04	1.88%	0.00				93.96	5,000.00	-4,906.04	1.88%
<b>Total 4500 · OTHER EXPENSES - FISHERIES</b>	<b>315.81</b>	<b>10,000.00</b>	<b>-9,684.19</b>	<b>3.16%</b>	<b>0.00</b>				<b>315.81</b>	<b>10,000.00</b>	<b>-9,684.19</b>	<b>3.16%</b>
4999 · GENERAL & ADMINISTRATIVE												
5000 · Director Fees	0.00				3,422.25	12,400.00	-8,977.75	27.6%	3,422.25	12,400.00	-8,977.75	27.6%
5001 · Director Mileage	0.00				194.17	600.00	-405.83	32.36%	194.17	600.00	-405.83	32.36%
5100 · Legal	0.00				12,623.90	75,000.00	-62,376.10	16.83%	12,623.90	75,000.00	-62,376.10	16.83%
5101 · Audit	0.00				8,809.31	22,750.00	-13,940.69	38.72%	8,809.31	22,750.00	-13,940.69	38.72%
5150 · Unemployment Tax	0.00				0.00	5,000.00	-5,000.00	0.0%	0.00	5,000.00	-5,000.00	0.0%
5200 · Liability Insurance	0.00				9,228.84	39,400.00	-30,171.16	23.42%	9,228.84	39,400.00	-30,171.16	23.42%
5310 · Postage/Office Exp	0.00				1,865.11	6,000.00	-4,134.89	31.09%	1,865.11	6,000.00	-4,134.89	31.09%
5311 · Office Equip/Leases	0.00				2,844.06	13,440.00	-10,595.94	21.16%	2,844.06	13,440.00	-10,595.94	21.16%
5312 · Misc Admin Expenses	0.00				4,367.36	11,000.00	-6,632.64	39.7%	4,367.36	11,000.00	-6,632.64	39.7%
5313 · Communications	0.00				2,860.83	9,500.00	-6,639.17	30.11%	2,860.83	9,500.00	-6,639.17	30.11%
5314 · Utilities	0.00				4,428.63	9,735.00	-5,306.37	45.49%	4,428.63	9,735.00	-5,306.37	45.49%
5315 · Membership Dues	0.00				1,273.35	11,700.00	-10,426.65	10.88%	1,273.35	11,700.00	-10,426.65	10.88%
5316 · Admin Fixed Assets	0.00				0.00	12,000.00	-12,000.00	0.0%	0.00	12,000.00	-12,000.00	0.0%
5318 · Computer Consultant	0.00				10,433.09	25,000.00	-14,566.91	41.73%	10,433.09	25,000.00	-14,566.91	41.73%
5325 · Emp Training/Subscriptions	0.00				55.25	2,000.00	-1,944.75	2.76%	55.25	2,000.00	-1,944.75	2.76%
5330 · Admin Travel	0.00				140.94	3,500.00	-3,359.06	4.03%	140.94	3,500.00	-3,359.06	4.03%
5331 · Public Information	0.00				811.18	3,500.00	-2,688.82	23.18%	811.18	3,500.00	-2,688.82	23.18%
<b>Total 4999 · GENERAL &amp; ADMINISTRATIVE</b>	<b>0.00</b>				<b>63,358.27</b>	<b>262,525.00</b>	<b>-199,166.73</b>	<b>24.13%</b>	<b>63,358.27</b>	<b>262,525.00</b>	<b>-199,166.73</b>	<b>24.13%</b>
5299 · ADMIN LABOR	0.00				262,394.35	670,202.00	-407,807.65	39.15%	262,394.35	670,202.00	-407,807.65	39.15%
5400 · GENERAL & ADMIN - FISHERIES												
5407 · Legal - FD	6,233.10	25,000.00	-18,766.90	24.93%	0.00				6,233.10	25,000.00	-18,766.90	24.93%
5410 · Postage / Office Supplies	879.57	4,000.00	-3,120.43	21.99%	0.00				879.57	4,000.00	-3,120.43	21.99%
5411 · Office Equipment / Leases	1,531.32	8,533.00	-7,001.68	17.95%	0.00				1,531.32	8,533.00	-7,001.68	17.95%
5412 · Misc. Admin Expense	2,283.33	7,500.00	-5,216.67	30.44%	0.00				2,283.33	7,500.00	-5,216.67	30.44%

**Cachuma Operation & Maintenance Board**  
**Statement of Revenues and Expenditures (Unaudited)**  
 Budget vs. Actuals July 2023 - June 2024

	Fisheries				Operations				TOTAL			
	Jul - Oct 23	Budget	\$ Over / (Under) Budget	% of Budget	Jul - Oct 23	Budget	\$ Over / (Under) Budget	% of Budget	Jul - Oct 23	Budget	\$ Over / (Under) Budget	% of Budget
5413 · Communications	1,540.42	4,455.00	-2,914.58	34.58%	0.00				1,540.42	4,455.00	-2,914.58	34.58%
5414 · Utilities	2,384.65	5,243.00	-2,858.35	45.48%	0.00				2,384.65	5,243.00	-2,858.35	45.48%
5415 · Membership Dues	1,685.65	7,200.00	-5,514.35	23.41%	0.00				1,685.65	7,200.00	-5,514.35	23.41%
5416 · Admin Fixed Assets	0.00	5,000.00	-5,000.00	0.0%	0.00				0.00	5,000.00	-5,000.00	0.0%
5418 · Computer Consultant	5,617.80	15,000.00	-9,382.20	37.45%	0.00				5,617.80	15,000.00	-9,382.20	37.45%
5425 · Employee Education/Subscription	29.75	2,500.00	-2,470.25	1.19%	0.00				29.75	2,500.00	-2,470.25	1.19%
5426 · Director Fees	1,842.75	6,700.00	-4,857.25	27.5%	0.00				1,842.75	6,700.00	-4,857.25	27.5%
5427 · Director Mileage	104.55	300.00	-195.45	34.85%	0.00				104.55	300.00	-195.45	34.85%
5430 · Travel	75.89	4,000.00	-3,924.11	1.9%	0.00				75.89	4,000.00	-3,924.11	1.9%
5431 · Public Information	436.79	1,500.00	-1,063.21	29.12%	0.00				436.79	1,500.00	-1,063.21	29.12%
5441 · Audit	4,902.69	12,250.00	-7,347.31	40.02%	0.00				4,902.69	12,250.00	-7,347.31	40.02%
5443 · Liab & Property Ins	4,969.37	22,000.00	-17,030.63	22.59%	0.00				4,969.37	22,000.00	-17,030.63	22.59%
<b>Total 5400 · GENERAL &amp; ADMIN - FISHERIES</b>	<b>34,517.63</b>	<b>131,181.00</b>	<b>-96,663.37</b>	<b>26.31%</b>	<b>0.00</b>				<b>34,517.63</b>	<b>131,181.00</b>	<b>-96,663.37</b>	<b>26.31%</b>
5499 · ADMIN LABOR-FISHERIES	83,818.70	296,750.00	-212,931.30	28.25%	0.00				83,818.70	296,750.00	-212,931.30	28.25%
5510 · Integrated Reg. Water Mgt Plan	0.00				0.00	5,000.00	-5,000.00	0.0%	0.00	5,000.00	-5,000.00	0.0%
<b>6199 · SPECIAL PROJECTS</b>												
6097 · GIS and Mapping	0.00				4,060.00	10,000.00	-5,940.00	40.6%	4,060.00	10,000.00	-5,940.00	40.6%
6105 · ROW Management Program	0.00				0.00	20,000.00	-20,000.00	0.0%	0.00	20,000.00	-20,000.00	0.0%
6110 · SCADA Improvements & Support	0.00				0.00	35,000.00	-35,000.00	0.0%	0.00	35,000.00	-35,000.00	0.0%
6115 · COMB Biding Improvemnts & Maint	0.00				4,288.04	80,000.00	-75,711.96	5.36%	4,288.04	80,000.00	-75,711.96	5.36%
6125 · 2023 Winter Storm Repairs	0.00				391,134.64	1,175,000.00	-783,865.36	33.29%	391,134.64	1,175,000.00	-783,865.36	33.29%
6138 · Cachuma Watershed Mgmt Study	0.00				19,817.24	50,000.00	-30,182.76	39.63%	19,817.24	50,000.00	-30,182.76	39.63%
<b>Total 6199 · SPECIAL PROJECTS</b>	<b>0.00</b>				<b>419,299.92</b>	<b>1,370,000.00</b>	<b>-950,700.08</b>	<b>30.61%</b>	<b>419,299.92</b>	<b>1,370,000.00</b>	<b>-950,700.08</b>	<b>30.61%</b>
<b>6000 · INFRASTRUCTURE IMPROVEMENT PROJ</b>												
6096 · SCC Structure Rehabilitation	0.00				0.00	200,000.00	-200,000.00	0.0%	0.00	200,000.00	-200,000.00	0.0%
6136 · SCC Isolation Valve Evaluation	0.00				0.00	550,000.00	-550,000.00	0.0%	0.00	550,000.00	-550,000.00	0.0%
6137 · SCC Lower Reach Lateral Structu	0.00				0.00	550,000.00	-550,000.00	0.0%	0.00	550,000.00	-550,000.00	0.0%
<b>Total 6000 · INFRASTRUCTURE IMPROVEMENT PROJ</b>	<b>0.00</b>				<b>0.00</b>	<b>1,300,000.00</b>	<b>-1,300,000.00</b>	<b>0.0%</b>	<b>0.00</b>	<b>1,300,000.00</b>	<b>-1,300,000.00</b>	<b>0.0%</b>
<b>6200 · PROGRAM SUPPORT SERVICES</b>												
6201 · FMP Implementation	1,617.09	42,000.00	-40,382.91	3.85%	0.00				1,617.09	42,000.00	-40,382.91	3.85%
6202 · GIS and Mapping	3,135.00	10,000.00	-6,865.00	31.35%	0.00				3,135.00	10,000.00	-6,865.00	31.35%
6205 · USGS Stream Gauge Program	30,205.00	120,000.00	-89,795.00	25.17%	0.00				30,205.00	120,000.00	-89,795.00	25.17%
6225 · 2023 Winter Storm Repairs	8,808.89	0.00	8,808.89	100.0%	0.00				8,808.89	0.00	8,808.89	100.0%
<b>Total 6200 · PROGRAM SUPPORT SERVICES</b>	<b>43,765.98</b>	<b>172,000.00</b>	<b>-128,234.02</b>	<b>25.45%</b>	<b>0.00</b>				<b>43,765.98</b>	<b>172,000.00</b>	<b>-128,234.02</b>	<b>25.45%</b>
<b>6300 · HABITAT IMPROVEMENT PROJECTS</b>												
6207 · Oak Tree Restoration Program	406.78	15,000.00	-14,593.22	2.71%	0.00				406.78	15,000.00	-14,593.22	2.71%
6303 · Tributary Projects Support	0.00	10,000.00	-10,000.00	0.0%	0.00				0.00	10,000.00	-10,000.00	0.0%
<b>Total 6300 · HABITAT IMPROVEMENT PROJECTS</b>	<b>406.78</b>	<b>25,000.00</b>	<b>-24,593.22</b>	<b>1.63%</b>	<b>0.00</b>				<b>406.78</b>	<b>25,000.00</b>	<b>-24,593.22</b>	<b>1.63%</b>
<b>Total Expense</b>	<b>\$ 459,840.34</b>	<b>\$ 1,533,913.00</b>	<b>\$ (1,074,072.66)</b>	<b>29.98%</b>	<b>\$ 1,193,731.89</b>	<b>\$ 5,453,875.00</b>	<b>\$ (4,260,143.11)</b>	<b>21.89%</b>	<b>\$ 1,653,572.23</b>	<b>\$ 6,987,788.00</b>	<b>\$ (5,334,215.77)</b>	<b>23.66%</b>
<b>Net Income</b>	<b>\$ 51,117.97</b>	<b>\$ -</b>	<b>\$ 51,117.97</b>	<b>100.0%</b>	<b>\$ 363,760.76</b>	<b>\$ -</b>	<b>\$ 363,760.76</b>	<b>100.0%</b>	<b>\$ 414,878.73</b>	<b>\$ -</b>	<b>\$ 414,878.73</b>	<b>100.0%</b>

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# CACHUMA OPERATION & MAINTENANCE BOARD

## BOARD MEMORANDUM

Date:	November 13, 2023
Submitted by:	Janet Gingras

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**SUBJECT:** Investment Report – October 31, 2023

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### **RECOMMENDATION**

The Board of Directors receive and file the Cachuma Operation & Maintenance Board Investment Report as of October 31, 2023.

### **DISCUSSION**

Cash and investment programs are maintained in accordance with California Government Code Section 53600 et seq. and COMB's adopted investment policy. These policies ensure proper control and safeguards are maintained throughout the financial transaction process. Pursuant to State law, the COMB Board adopts a detailed investment policy through a Board resolution on an annual basis.

Reports on COMB's investment portfolio and cash position are developed and presented to the COMB Board on a monthly basis, in conformity with the California Government Code.

#### Unrestricted Cash

Unrestricted cash exceeding current operating needs is invested in LAIF to generate interest income. The average effective yield rate, as of October 2023, is reported at 3.67%.

See Table 1 below for a summary of balances held in unrestricted accounts.

Table 1			
Unrestricted Reserve Funds			
Local Agency Investment Fund (LAIF)			
	9/30/2023	\$	1,445,936.57
(+) Deposits/Credits			14,437.65
(-) Checks/Withdrawals			-
Statement Balance	10/31/2023	\$	1,460,374.22

#### Restricted Cash


The Cachuma Project Warren Act Trust Fund (Trust Fund) and Cachuma Project Master Contract Renewal Fund (Renewal Fund) are two separate funds that have been established through contracts with the U.S. Bureau of Reclamation (Reclamation). The Trust Fund and the Renewal Fund require annual and five-year plans which are used to inform the Funds Committee in making decisions on expenditures for betterment of the Cachuma Project.

See Table 2 below for a summary of balances held in restricted accounts.

Table 2			
Restricted Reserve Funds			
American Riviera Bank Renewal Account			
Previous Balance	9/30/2023	\$	163,316.95
(+) Deposits/Credits			-
(-) Checks/Withdrawals			-
Statement Balance	10/31/2023	\$	163,316.95
American Riviera Bank Warren Act Trust Fund			
Previous Balance	9/30/2023	\$	282,898.59
(+) Deposits/Credits			7,138.00
(-) Checks/Withdrawals			-
Statement Balance	10/31/2023	\$	290,036.59

**STATEMENT**

The above statement of investment activity for the month of October 2023, complies with legal requirements for investment policy of government agencies, AB 1073. I hereby certify that it constitutes a complete and accurate summary of all American Riviera Bank and LAIF investments of this agency for the period indicated.

  
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 Secretary



**Cachuma Operation & Maintenance Board**

**Paid Claims**

As of October 31, 2023

Date	Num	Name	Memo	Amount
<b>1050 - General Fund</b>				
10/05/2023	30586	Association of Ca Water Agencies/JPIA	November 2023 Health Benefits Premium	-24,756.05
10/05/2023	30587	City of Santa-Barbara	2023 Winter Storm Repairs - Lauro Stilling Well Debris Disposal	-909.12
10/05/2023	30588	City of Santa-Barbara	Trash & Recycling September 2023	-381.78
10/05/2023	30589	Cori Hayman	Director Meeting Fees September 2023	-416.20
10/05/2023	30590	ECHO Communications	Message Service October 2023	-87.00
10/05/2023	30591	Eurofins Eaton Analytical, LLC	Lake Cachuma Water Quality Sampling	-1,500.00
10/05/2023	30592	Farm Supply Company	2023 Winter Storm Repairs - Quiota Creek Crossings Supplies	-597.85
10/05/2023	30593	Federal Express	Shipping (Ops & Fisheries)	-65.75
10/05/2023	30594	Frontier Communications	Phone Service - Outlying Stations	-146.69
10/05/2023	30595	Frontier Communications	Phone Service - Main Office Land Lines	-98.87
10/05/2023	30596	HDR Engineering, Inc.	FMP Implementation & Tributary Support - Engineering Services	-561.75
10/05/2023	30597	Home Depot Credit Services	Supplies (Ops)	-161.53
10/05/2023	30598	ID Works	Uniforms - Logo Embroidery (Ops)	-682.95
10/05/2023	30599	J&C Services	Office Cleaning Service - Weekly	-960.00
10/05/2023	30600	Kristen Sneddon	Director Meeting Fees September 2023	-400.86
10/05/2023	30601	Lauren W. Hanson	Director Meeting Fees September 2023	-403.10
10/05/2023	30602	Musick, Peeler & Garrett LLP	General Counsel August 2023 (Ops & Fisheries)	-3,450.00
10/05/2023	30603	Paychex, Inc. (Payroll)	Payroll & Payroll Tax Services 9/15 & 9/29	-227.00
10/05/2023	30604	Peter Lapidus Construction, Inc.	2023 Winter Storm Repairs - Sycamore Canyon Construction Services	-17,600.00
10/05/2023	30605	Polly Holcombe	Director Meeting Fees September 2023	-427.06
10/05/2023	30606	Rayne of Santa Barbara Inc	September RO Rental	-33.00
10/05/2023	30607	Smith MEP	COMB Building Maintenance - Electrical Work	-1,743.04
10/05/2023	30608	Southern California Edison	Electricity - Outlying Stations (Ops)	-18.90
10/05/2023	30609	Southern California Edison	Electricity - Main Office & Outlying Stations	-2,120.26
10/05/2023	30610	Sparkletts	Operations Safety	-108.86
10/05/2023	30611	Staples Business Credit	Office Supplies (Ops & Fisheries)	-161.16
10/05/2023	30612	Total Compensation Systems, Inc.	GASB 75 Roll-Forward Valuation - Installment #2	-765.00
10/05/2023	30613	Wells Fargo Vendor Fin Serv	Copier Lease - Kyocera Taskalfa 6054ci	-406.52
10/05/2023	30614	WEX Fleet Universal	Fleet Fuel September 2023	-3,313.63
10/05/2023	30615	Zac Gonzalez Landscaping & Tree Care	Landscape Maintenance September 2023	-480.00
10/10/2023	30616	ACWA-Joint Powers Insurance Authority	Workers Compensation Program 07/01/2023 - 09/30/2023	-8,442.02
10/10/2023	30617	AT&T	Long Distance Service	-75.66
10/10/2023	30618	County of Santa--Barbara	Waste Disposal Fee (Ops)	-40.00
10/10/2023	30619	Cox Communications Santa Barbara	Business Internet October 2023	-195.44
10/16/2023	30622	Aqua-Flo Supply	Supplies (Ops)	-130.78
10/16/2023	30623	Channel City Lumber	Supplies (Ops)	-109.08
10/16/2023	30624	CMRS-FP	Deposit of Funds to Postage Account	-300.00
10/16/2023	30625	Coastal Copy, Inc.	Copier Maintenance - Kyocera Taskalfas 3253ci & 6054ci	-555.67
10/16/2023	30626	Federal Express	Shipping (Ops)	-181.85
10/16/2023	30627	Impulse Advanced Communications	Phone Service - Main Office	-886.87
10/16/2023	30628	J&C Services	Office Cleaning Service - Weekly	-960.00
10/16/2023	30629	LoopUp, LLC	Conference Calls September 2023	-26.62
10/16/2023	30630	MarBorg Industries	Portable Facilities - Outlying Stations	-423.76
10/16/2023	30631	Turenchalk Network Services, Inc.	Network Equipment (Ops & Fisheries)	-294.52
10/16/2023	30632	Verizon Wireless	Cellular Service - Wireless Modems (Ops)	-266.09
10/16/2023	30633	Verizon Wireless	Operations Cell Phones & iPads	-386.45
10/16/2023	30634	White Cap	Supplies (Ops)	-759.87
10/23/2023	30635	A-1 Truck & Equipment	2023 Ford F-750 - Modify Rear Gate	-6,540.83
10/23/2023	30636	California Special Districts Association	2024 CSDA Membership Renewal	-1,715.00
10/23/2023	30637	Cushman Contracting Corp.	EPFP Pumping System - Storage	-3,500.00
10/23/2023	30638	Geosyntec Consultants	Lake Cachuma Water Quality & Sediment Study - Professional Services	-282.22
10/23/2023	30639	Giffin Rental & Western Welding	Equipment Rental	-116.05
10/23/2023	30640	Illumina, Inc.	BiOp Genetic Testing (Fisheries)	-1,055.34
10/23/2023	30641	Kenneth A. Knight, Consulting	Oak Tree Program - Arborist Services	-250.00
10/23/2023	30642	Musick, Peeler & Garrett LLP	General Counsel September 2023 (Ops & Fisheries)	-2,484.00
10/23/2023	30643	Nutrien Ag Solutions, Inc.	Pest Control Supplies (Ops)	-87.14
10/23/2023	30644	Pacific Coast Jiffy Lube	2015 Chevy Silverado - Routine Maintenance	-157.30

Cachuma Operation & Maintenance Board

**Paid Claims**

As of October 31, 2023

<b>Date</b>	<b>Num</b>	<b>Name</b>	<b>Memo</b>	<b>Amount</b>
10/23/2023	30645	Quinn Company	Annual Generator Load Tests (Ops)	-3,957.94
10/23/2023	30646	Rayne of Santa Barbara Inc	October RO Rental	-33.50
10/23/2023	30647	Securitas Technology Corp	Security System Maintenance - Nov-Jan	-159.00
10/23/2023	30648	The Gas Company	Natural Gas - Main Office	-4.98
10/23/2023	30649	Turenchalk Network Services, Inc.	Network Support (Ops & Fisheries)	-7,159.90
10/23/2023	30650	Wells Fargo Vendor Fin Serv	Copier Lease - Kyocera Taskalfa 3253ci	-161.98
Total 1050 · General Fund				-104,683.79
<b>TOTAL</b>				<b><u>-104,683.79</u></b>

**APPROVALS**

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# CACHUMA OPERATION & MAINTENANCE BOARD

## BOARD MEMORANDUM

Date:	November 13, 2023
Submitted by:	Edward Lyons
Approved by:	Janet Gingras

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**SUBJECT:** Financial Review – 1st Quarter Fiscal Year 2023-23

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**RECOMMENDATION:**

The Board of Directors receive a presentation on the 1st Quarter Fiscal Year (FY) 2023-24 Financial Review and receive and file.

**DISCUSSION:**

The Board of Directors approves the Cachuma Operation and Maintenance Board (COMB) Budget each fiscal year. Unaudited financial statements are received and filed by the Board on a monthly basis. Staff provides, on a quarterly basis, a fiscal year-to-date financial review of the unaudited interim financial reports to the Board of Directors, comparing actual expenditures to the Budget.

For FY 2023-24, COMB adjusted the collection of quarterly assessments based on project implementation and projected cash outflows, as follows:

**Table 1**  
**COMB Quarterly Budget Assessments FY 2023-24**

Q1	Q2	Q3	Q4	Total
\$ 1,164,753	\$ 869,711	\$ 1,706,634	\$ 1,187,884	\$ 4,928,983
24%	18%	35%	24%	100%

**FISCAL ANALYSIS:**

**Revenues Collected**

- COMB assessed and collected \$1.2M in quarterly O&M Budget Assessments for the period July – September 2023.
- COMB assessed and collected \$637K in pass-through charges on behalf of the US Bureau of Reclamation for the 1<sup>st</sup> period USBR Entitlement obligation for the period October 2023 through April 2024.
- COMB assessed and collected \$309.1K in pass-through charges on behalf of the US Bureau of Reclamation for the annual Bradbury and Lauro Safety of Dams (SOD) repayment obligation for the water year 2023-24.
- COMB collected \$225k from the California Department of Water Resources as final reimbursement under the Urban and Multi Benefit Drought Relief Grant Program.
- COMB earned \$12.9K in interest income for funds held with LAIF.

## **Expenditures To Date (% of Budget Apportioned thru September – 24%)**

### **General and Administrative (Combined)**

- General and Administrative Expenses include costs for support of all administrative functions of COMB such as: Director fees, legal expenditures, general liability and property insurance, audit fees, temporary/contract labor, utilities, IT and communications, postage and office supplies, training, education and subscriptions and miscellaneous expenses. Costs are generally allocated between Operations and Maintenance (65%) and Fisheries Division (35%). General and Administrative expenses thru September totaled \$68.9K (17.5%) and are within budget.
- General and Administrative Labor includes salaries, employer-paid payroll taxes, health insurance and retirement benefit costs for the COMB General Manager and Administrative staff. General and Administrative Labor expenses totaled \$293.6K (30.4%). Notable costs during the first quarter include a prepayment of the FY 2023-24 CalPERS Unfunded Accrued Liability payment (\$71k). Other costs in this category are on trend and generally occur evenly throughout the year.

### **Operations Division**

- Operation and Maintenance Labor includes salaries, employer-paid payroll taxes, health insurance and retirement benefit costs. Personnel costs thru September totaled. \$294.5K (24.1%). Notable costs during the first quarter include a prepayment of the FY 2023-24 CalPERS Unfunded Accrued Liability payment (\$59.2K).
- Vehicles & Equipment includes funds for the purchase of fixed capital, equipment rental, vehicle and equipment maintenance, and fuel costs. Actual costs of \$6.5K (2.3%) are lower than budget due to lower than projected vehicle maintenance costs and fixed capital purchases. The budget for FY 2023-24 includes the purchase of a new crane truck of \$130K and a backhoe purchased through an equipment lease agreement of \$85K.
- Contract Labor contains funds for outside services and labor that cannot be supported by COMB staff such as water quality sampling, elevator maintenance or repair, tree trimming and removal services, heavy equipment and operators' labor costs for various small projects including meter calibration and meter repair. Actual costs of \$24.9K (15.6%) are within budget. Projects and staff assignments are reviewed closely by the COMB General Manager and Operations Division Manager to control costs in this category.
- Materials and Supplies covers costs related to the operation and maintenance of the conduit, reservoirs, and outlying buildings and roads. Actual costs of \$5.6K (5.6%) are under budget. Timing of expenditures in this category can vary based on project needs.
- Other Expenses includes utilities, uniforms, hazardous waste disposal, communications (phones at facilities, and cell phones for operations and maintenance), Underground Service Alerts, and employee training and certifications. Actual costs of \$10.7K (14.1%) are within budget.
- Special Projects includes costs related to 2023 winter storm repairs, water quality and sediment management, COMB building improvements and maintenance, SCADA improvements and support, right of way identification program and GIS and mapping. Actuals costs of \$402.9K (8.1%) are slightly higher budget. Timing of expenditures in this category can vary based on project needs. During the first quarter, COMB incurred \$378.7K related to the 2023 winter storm repairs. COMB is seeking reimbursement from FEMA/CalOES for these costs.
- Infrastructure Improvement Projects - Board policy requires that all infrastructure improvement projects be approved through Committee and by the Board prior to commencement. There were no notable costs incurred during the first quarter. The timing and ranking of projects are dependent on factors such as: (1) water supply reliability, (2) risk, (3) critical need/life cycle of asset, (4) safety, and (5) service disruption necessary to accomplish project.

- **The total Operations Division expenses thru September of \$1.0M (18.6%) for FY 2023-24 are within budget.**
- **The projected annualized Operations Division expenses of \$5.2M (94.5%) for FY 2023-24 are within budget as reflected in Table 2:**

**Table 2**  
Operations Division

	Actual Jul-Sep	Projected Oct-Dec	Projected Jan-Mar	Projected Apr-Jun	Annual Projected	Annual Budget	Over / (Under) Budget (\$)	% of Budget
Revenue								
Revenue	\$ 765,468	\$ 1,328,369	\$ 2,001,869	\$ 808,369	\$ 4,904,074	\$ 5,453,875	\$ (549,801)	89.9%
Total Income	765,468	1,328,369	2,001,869	808,369	4,904,074	5,453,875	-549,801	89.9%
<b>Revenue</b>	<b>\$ 765,468</b>	<b>\$ 1,328,369</b>	<b>\$ 2,001,869</b>	<b>\$ 808,369</b>	<b>\$ 4,904,074</b>	<b>\$ 5,453,875</b>	<b>\$ (549,801)</b>	<b>89.9%</b>
Expense								
General and Admin Expenses	44,639	59,844	53,344	50,094	207,921	262,525	(54,604)	79.2%
General and Admin Labor	224,840	167,550	167,550	167,550	727,491	670,202	57,289	108.5%
O&M Labor	294,494	305,087	305,087	305,087	1,209,754	1,220,348	(10,594)	99.1%
O&M Vehicle & Equip	6,533	77,500	27,500	157,500	269,033	290,000	(20,968)	92.8%
O&M Contract Labor	24,921	40,000	40,000	40,000	144,921	160,000	(15,079)	90.6%
O&M Material and Supplies	5,642	25,000	25,000	25,000	80,642	100,000	(19,358)	80.6%
O&M Other Expenses	10,714	17,138	32,138	14,388	74,377	75,800	(1,423)	98.1%
Special Projects	402,920	636,250	51,250	48,750	1,139,170	1,375,000	(235,830)	100.0%
Infrastructure Improvement Proj	-	-	1,300,000	-	1,300,000	1,300,000	-	100.0%
Total Expense	\$ 1,014,703	\$ 1,328,369	\$ 2,001,869	\$ 808,369	\$ 5,153,309	\$ 5,453,875	\$ (300,566)	94.5%
Net Surplus / (Deficit)	\$ (249,235)	\$ -	\$ -	\$ -	\$ (249,235)	\$ -	\$ (249,235)	

Note:

(\*) Results are unaudited and subject to change.

### **Fisheries Division**

- **Fisheries Division Labor** includes salaries, employer-paid payroll taxes, health insurance and retirement benefit costs for the Fisheries Division Manager, two Senior Field Biologists, a Biologist Aide position, and four part-time seasonal positions. Personnel costs of \$228.1K (27.7%) are within budget.
- **Vehicles & Equipment** includes funds for the purchase of fixed capital, equipment rental, vehicle and equipment maintenance, and fuel costs. Actual costs of \$6.5K (12.4%) are lower than budget due to lower than projected vehicle maintenance costs.
- **Contract Labor** contains funds for outside services/labor to support equipment calibration on flow meters, and funds for technical assistance corresponding to the operation, maintenance and performance review of completed fish passage projects. Projected consultant services are reviewed by the COMB General Manager and Fisheries Division Manager to control costs in this category. There were minimal reportable expenses (<\$0.5K) under this category during this period. COMB has entered into an annual agreement with HDR Engineering (\$4.6K) to perform tasks outlined in an approved scope of work (SOW) under this category.
- **Materials and Supplies** includes costs for the purchase of items needed for the Fisheries Monitoring Program specifically for migration, spawning and over-summering, constructing and repairing fish migration traps and the equipment necessary to conduct snorkel and redds surveys. There were minimal reportable expenses (<\$0.5K) under this category during this period.
- **Other Expenses** includes funds to pay for uniforms and gear for the fisheries division employees. There were minimal reportable expenses (<\$0.5K) under this category during this period.

- Fisheries Division Activities includes funding for special activities related to ongoing Cachuma Project Biological Opinion (BiOp) compliance efforts, the implementation of the Lower Santa Ynez River Fisheries Monitoring Program (FMP), GIS mapping, and the USGS Stream Gauge Program. The use of external consultants and the timing of expenditures can vary year-to-year based on specific program needs or as particular stream or habitat issues arise. Actual costs of \$38.3K (22.3%) are within budget.
- Fisheries Habitat Improvement – includes funds for the Oak Tree Restoration Program and Tributary Project Improvements. There were minimal reportable expenses (<\$0.5K) under this category during the 1<sup>st</sup> quarter.
- **The total Fisheries Division expenses thru September of \$366.9K (23.9%) for FY 2023-24 are within budget.**
- **The projected annualized Fisheries Division expenses of \$1.5M (97.8%) for FY 2023-24 are within budget as reflected in Table 3 below:**

**Table 3**  
Fisheries Division

	Actual Jul-Sep	Projected Oct-Dec	Projected Jan-Mar	Projected Apr-Jun	Annual Projected	Annual Budget	Over/(Under) Budget (\$)	% of Budget
Revenue								
Revenue	\$ 418,834	\$ 379,366	\$ 374,766	\$ 379,516	\$ 1,552,481	\$ 1,533,913	\$ 18,568	101.2%
Expense								
General and Admin Expenses	24,231	29,483	25,983	24,233	103,928	131,181	(27,253)	79.2%
General and Admin Labor	68,771	74,188	74,188	74,188	291,333	296,750	(5,417)	98.2%
Fisheries Labor	228,138	206,096	206,096	206,096	846,424	824,382	22,042	102.7%
Fisheries Vehicle & Equip	6,505	13,125	13,125	13,125	45,880	52,500	(6,620)	87.4%
Fisheries Contract Labor	321	8,100	2,000	2,000	12,421	14,100	(1,679)	88.1%
Fisheries Material and Supplies	314	2,000	2,000	2,000	6,314	8,000	(1,686)	78.9%
Fisheries Other Expenses	222	875	875	2,375	4,347	10,000	(5,653)	43.5%
Fisheries Activities	38,302	40,500	40,500	50,500	169,802	172,000	(2,198)	98.7%
Fisheries Habitat Enhancement	137	5,000	10,000	5,000	20,137	25,000	(4,863)	80.6%
Total Expense	\$ 366,940	\$ 379,366	\$ 374,766	\$ 379,516	\$ 1,500,587	\$ 1,533,913	\$ (33,326)	97.8%
Net Surplus / (Deficit)	\$ 51,894	\$ -	\$ -	\$ -	\$ 51,894	\$ -	\$ 51,894	

Note: Results are unaudited and subject to change.

### **Restricted Funds and Obligations**

- **Warren Act Trust Fund (Restricted Fund)** - The Warren Act Trust Fund is a requirement of the Cachuma Project Warren Act Contract negotiated between the Central Coast Water Authority (CCWA) and the Bureau of Reclamation for delivery and transport of State Water Project (SWP) water through the Cachuma Project facilities. A 1995 memorandum of understanding executed in conjunction with the Warren Act Contract established a charge of \$43 per acre-foot (AF). Payments are required upon delivery of SWP water to Cachuma Reservoir. CCWA makes quarterly payments to COMB based on the prior quarter's water deliveries to the lake.

COMB collected \$210.8K of Warren Act Trust Fund payments from CCWA for SWP deliveries that occurred in calendar year 2022. These funds were reviewed at the annual Cachuma Project Warren Act Trust Fund and Renewal Fund meeting for use in FY 2023-24.

- **Renewal Funds (Restricted Fund)** - The Renewal Fund is a requirement of the 1995 Renewal Master Contract (Contract No. I75r-1802R between the United States and Santa Barbara County Water Agency) entered into for water conveyance from the Cachuma Project to the five Cachuma Project Member Units. The Member Units are the Carpinteria Valley Water District, the Goleta Water District, the Montecito Water District, the City of Santa Barbara, and the Santa Ynez River Water Conservation District, Improvement District No. 1 (ID No. 1).

The Renewal Master Contract requires the payment of \$10 per acre-foot of water made available by the Cachuma Project. The Renewal Fund itself is capped at \$257,100, which is related to the current annual operational yield of 25,714 AF.

In accordance with the Cachuma Project Master Contract, Article 27 – Renewal Fund, Sub Article (e):

1. The aggregate amount to be deposited by the Cachuma Member Units in any Water Year shall not exceed the lesser of the amounts determined pursuant to sub articles 27 (e)(2), (e)(3), and (e)(4), as stated below.
2. The aggregate amount to be deposited by the Cachuma Project Member Units in any Water Year shall not exceed an amount equal to \$10 (May 1995 price levels using the Consumer Price Index) for each acre-foot of Project water scheduled for delivery that water year.
3. The aggregate amount to be deposited by the Cachuma Member Units in any Water Year shall not exceed an amount which bears a ratio to \$257,100, which is inverse to the ratio which the aggregate amount paid into the Cachuma Project Trust Fund during the immediately preceding Calendar Year bears to \$300,000.
4. If (i) at the beginning of any Water Year the combined balance of the Cachuma Project Trust Fund and the Renewal Fund is \$600,000 or more, or (ii) the Contracting Officer has determined that the maximum operation elevation of the Cachuma Reservoir shall be less than 750 feet, then no contributions to the Renewal Fund are required for such year Water Year.

In July 2023, Reclamation notified the Santa Barbara County Water Agency and the Cachuma Project Member Units that their annual allocation request of 100% was approved for WY 2023-24. As a result, the aggregate amount to be collected from the Cachuma Project Member Units pursuant to Sub Article 27 (e)(3) of the Renewal Master Contract, is as follows:

<b>Given:</b>	WATF = \$210,786
	AOY = Annual Operation Yield of 25,714 x \$10 = \$257,100
	RFC = Renewal Fund Calculation = $[1 - (WATF / \$300,000)] \times AOY$
<b>Then:</b>	RFC = $[1 - (\$210,786 / \$300,000)] \times \$257,100$
	RFC = \$76,456

- **Bradbury/Lauro SOD Contracts** - Under the terms and conditions of a repayment contract executed in 2002, COMB is responsible for payment to the United States of fifteen percent (15%) of the total amount of Safety of Dams (SOD) Act funds expended by the United States for structural stability and related work at Bradbury Dam.

The fifteen percent obligation under the Bradbury SOD contract is \$7,605,739 and is to be repaid by annual payments over a 50-year period. COMB's payment obligation for FY 2023-24 is \$261,647. COMB assesses the Cachuma Project Member Units in accordance with their respective Cachuma Project entitlement percentages.

The fifteen percent obligation under the Lauro SOD contract is \$1,009,737 and is to be repaid by annual payments over a 50-year period. COMB's payment obligation for FY 2023-24 is \$47,404. COMB assesses the COMB Member Agencies (only) in accordance with each Member Agencies' pro-rata Cachuma Project entitlement percentages.

**LIST OF EXHIBITS:**

- 1) Fiscal Year 2023-24 Statement of Revenue and Expenditures
- 2) Quarterly Financial Review Presentation



**Cachuma Operation & Maintenance Board  
Statement of Revenues and Expenditures - Unaudited  
Budget vs. Actuals**

	Fisheries				Operations				TOTAL			
	Jul-Sep 23	Annual Budget	\$ Over / (Under) Budget	% of Budget	Jul-Sep 23	Annual Budget	\$ Over / (Under) Budget	% of Budget	Jul-Sep 23	Budget	\$ Over / (Under) Budget	% of Budget
<b>Revenue</b>												
Revenue	\$ 418,834.31	\$ 1,533,913.00	\$ (1,115,078.69)	27.3%	\$ 765,468.00	\$ 5,453,875.00	\$ (4,688,407.00)	14.0%	\$ 1,184,302.31	\$ 6,987,788.00	\$ (5,803,485.69)	16.9%
<b>Total Revenue</b>	<b>418,834.31</b>	<b>1,533,913.00</b>	<b>(1,115,078.69)</b>	<b>27.3%</b>	<b>765,468.00</b>	<b>5,453,875.00</b>	<b>(4,688,407.00)</b>	<b>14.0%</b>	<b>1,184,302.31</b>	<b>6,987,788.00</b>	<b>(5,803,485.69)</b>	<b>16.9%</b>
<b>Expense</b>												
General and Admin Expenses	24,230.50	131,181.00	(106,950.50)	18.5%	44,638.82	262,525.00	(217,886.18)	17.0%	68,869.32	393,706.00	(324,836.68)	17.5%
General and Admin Labor	68,770.60	296,750.00	(227,979.40)	23.2%	224,840.05	670,202.00	(445,361.95)	33.5%	293,610.65	966,952.00	(673,341.35)	30.4%
O&M Labor	-	-	-	-	294,493.67	1,220,348.00	(925,854.33)	24.1%	294,493.67	1,220,348.00	(925,854.33)	24.1%
O&M Vehicle & Equip	-	-	-	-	6,532.50	290,000.00	(283,467.50)	2.3%	6,532.50	290,000.00	(283,467.50)	2.3%
O&M Contract Labor	-	-	-	-	24,920.69	160,000.00	(135,079.31)	15.6%	24,920.69	160,000.00	(135,079.31)	15.6%
O&M Material and Supplies	-	-	-	-	5,642.39	100,000.00	(94,357.61)	5.6%	5,642.39	100,000.00	(94,357.61)	5.6%
O&M Other Expenses	-	-	-	-	10,714.09	75,800.00	(65,085.91)	14.1%	10,714.09	75,800.00	(65,085.91)	14.1%
Special Projects	-	-	-	-	402,920.32	1,375,000.00	(972,079.68)	29.3%	402,920.32	1,375,000.00	(972,079.68)	29.3%
Infrastructure Improvement Projects	-	-	-	-	-	1,300,000.00	(1,300,000.00)	0.0%	-	1,300,000.00	(1,300,000.00)	0.0%
Fisheries Labor	228,137.51	824,382.00	(596,244.49)	27.7%	-	-	-	-	228,137.51	824,382.00	(596,244.49)	27.7%
Fisheries Vehicle & Equip	6,504.56	52,500.00	(45,995.44)	12.4%	-	-	-	-	6,504.56	52,500.00	(45,995.44)	12.4%
Fisheries Contract Labor	321.43	14,100.00	(13,778.57)	2.3%	-	-	-	-	321.43	14,100.00	(13,778.57)	2.3%
Fisheries Material and Supplies	314.15	8,000.00	(7,685.85)	3.9%	-	-	-	-	314.15	8,000.00	(7,685.85)	3.9%
Fisheries Other Expenses	221.85	10,000.00	(9,778.15)	2.2%	-	-	-	-	221.85	10,000.00	(9,778.15)	2.2%
Fisheries Activities	38,302.12	172,000.00	(133,697.88)	22.3%	-	-	-	-	38,302.12	172,000.00	(133,697.88)	22.3%
Fisheries Habitat Enhancement	137.41	25,000.00	(24,862.59)	0.6%	-	-	-	-	137.41	25,000.00	(24,862.59)	0.6%
Other Interest Expense - EPFP	-	-	-	0.0%	-	-	-	-	-	-	-	0.0%
<b>Total Expense</b>	<b>366,940.13</b>	<b>1,533,913.00</b>	<b>(1,166,972.87)</b>	<b>23.9%</b>	<b>1,014,702.53</b>	<b>5,453,875.00</b>	<b>(4,439,172.47)</b>	<b>18.6%</b>	<b>1,381,642.66</b>	<b>6,987,788.00</b>	<b>(5,606,145.34)</b>	<b>19.8%</b>
<b>Surplus / (Deficit) - O&amp;M</b>	<b>\$ 51,894.18</b>	<b>\$ -</b>	<b>\$ 51,894.18</b>		<b>\$ (249,234.53)</b>	<b>\$ -</b>	<b>\$ (249,234.53)</b>		<b>\$ (197,340.35)</b>	<b>\$ -</b>	<b>\$ (197,340.35)</b>	

Note: Results are unaudited and subject to change.

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# Cachuma Operation & Maintenance Board

Financial Review – 1st Quarter  
Fiscal Year 2023-24



# Financial Review

## Revenues Collected – 1<sup>st</sup> Quarter

<b>COMB O&amp;M Budget Assessment (July - September 2023)</b>	<b>\$ 1,164,753</b>
<b>USBR Entitlement Obligation (Oct 2023 - April 2024)</b>	<b>637,055</b>
<b>USBR Lauro / Bradbury SOD Obligation (WY 2023-24)</b>	<b>309,100</b>
<b>CA Department of Water Resources</b>	<b>225,000</b>
<b>Interest Income</b>	<b>12,878</b>
<b>Total Revenues Collected</b>	<b>\$ 2,348,785</b>

# Financial Review

	Operations Division			
	Jul - Sep (YTD)	Annual Budget	Over / (Under) Budget (\$)	% of Budget
<b>Revenue</b>				
Revenue	\$ 765,468	\$ 5,453,875	\$ (4,688,407)	14.0%
<b>Expense</b>				
General and Admin Expenses	44,639	262,525	(217,886)	17.0%
General and Admin Labor	224,840	670,202	(445,362)	33.5%
O&M Labor	294,494	1,220,348	(925,854)	24.1%
O&M Vehicle & Equip	6,533	290,000	(283,468)	2.3%
O&M Contract Labor	24,921	160,000	(135,079)	15.6%
O&M Material and Supplies	5,642	100,000	(94,358)	5.6%
O&M Other Expenses	10,714	75,800	(65,086)	14.1%
Special Projects	402,920	1,375,000	(972,080)	29.3%
Infrastructure Improvement Projects	-	1,300,000	(1,300,000)	0.0%
<b>Total Expense</b>	<b>\$ 1,014,703</b>	<b>\$ 5,453,875</b>	<b>\$ (4,439,172)</b>	<b>18.6%</b>
<b>Net Surplus / (Deficit)</b>	<b>\$ (249,235)</b>	<b>\$ -</b>	<b>\$ (249,235)</b>	<b>100.0%</b>

Note: Results are unaudited and subject to change.

# Financial Review

**Table 2**  
Operations Division

	Actual Jul-Sep	Projected Oct-Dec	Projected Jan-Mar	Projected Apr-Jun	Annual Projected	Annual Budget	Over / (Under) Budget (\$)	% of Budget
Revenue								
Revenue	\$ 765,468	\$ 1,328,369	\$ 2,001,869	\$ 808,369	\$ 4,904,074	\$ 5,453,875	\$ (549,801)	89.9%
Total Income	765,468	1,328,369	2,001,869	808,369	4,904,074	5,453,875	-549,801	89.9%
<b>Revenue</b>	<b>\$ 765,468</b>	<b>\$ 1,328,369</b>	<b>\$ 2,001,869</b>	<b>\$ 808,369</b>	<b>\$ 4,904,074</b>	<b>\$ 5,453,875</b>	<b>\$ (549,801)</b>	<b>89.9%</b>
Expense								
General and Admin Expenses	44,639	59,844	53,344	50,094	207,921	262,525	(54,604)	79.2%
General and Admin Labor	224,840	167,550	167,550	167,550	727,491	670,202	57,289	108.5%
O&M Labor	294,494	305,087	305,087	305,087	1,209,754	1,220,348	(10,594)	99.1%
O&M Vehicle & Equip	6,533	77,500	27,500	157,500	269,033	290,000	(20,968)	92.8%
O&M Contract Labor	24,921	40,000	40,000	40,000	144,921	160,000	(15,079)	90.6%
O&M Material and Supplies	5,642	25,000	25,000	25,000	80,642	100,000	(19,358)	80.6%
O&M Other Expenses	10,714	17,138	32,138	14,388	74,377	75,800	(1,423)	98.1%
Special Projects	402,920	636,250	51,250	48,750	1,139,170	1,375,000	(235,830)	100.0%
Infrastructure Improvement Proj	-	-	1,300,000	-	1,300,000	1,300,000	-	100.0%
Total Expense	\$ 1,014,703	\$ 1,328,369	\$ 2,001,869	\$ 808,369	\$ 5,153,309	\$ 5,453,875	\$ (300,566)	94.5%
Net Surplus / (Deficit)	\$ (249,235)	\$ -	\$ -	\$ -	\$ (249,235)	\$ -	\$ (249,235)	

Note:

(\*) Results are unaudited and subject to change.

# Financial Review

	Fisheries Division			
	Jul-Sep (YTD)	Annual Budget	Over / (Under) Budget (\$)	% of Budget
<b>Revenue</b>				
Revenue	\$ 418,834	\$ 1,533,913	\$ (1,115,079)	27.3%
<b>Expense</b>				
General and Admin Expenses	24,231	131,181	(106,951)	18.5%
General and Admin Labor	68,771	296,750	(227,979)	23.2%
Fisheries Labor	228,138	824,382	(596,244)	27.7%
Fisheries Vehicle & Equip	6,505	52,500	(45,995)	12.4%
Fisheries Contract Labor	321	14,100	(13,779)	2.3%
Fisheries Material and Supplies	314	8,000	(7,686)	3.9%
Fisheries Other Expenses	222	10,000	(9,778)	2.2%
Fisheries Activities	38,302	172,000	(133,698)	22.3%
Fisheries Habitat Enhancement	137	25,000	(24,863)	0.6%
<b>Total Expense</b>	<b>\$ 366,940</b>	<b>\$ 1,533,913</b>	<b>\$ (1,166,973)</b>	<b>23.9%</b>
<b>Net Surplus / (Deficit)</b>	<b>\$ 51,894</b>	<b>\$ -</b>	<b>\$ 51,894</b>	<b>100.0%</b>

Note: Results are unaudited and subject to change.

# Financial Review

**Table 3**  
Fisheries Division

	Actual Jul-Sep	Projected Oct-Dec	Projected Jan-Mar	Projected Apr-Jun	Annual Projected	Annual Budget	Over/(Under) Budget (\$)	% of Budget
<b>Revenue</b>								
Revenue	\$ 418,834	\$ 379,366	\$ 374,766	\$ 379,516	\$ 1,552,481	\$ 1,533,913	\$ 18,568	101.2%
<b>Expense</b>								
General and Admin Expenses	24,231	29,483	25,983	24,233	103,928	131,181	(27,253)	79.2%
General and Admin Labor	68,771	74,188	74,188	74,188	291,333	296,750	(5,417)	98.2%
Fisheries Labor	228,138	206,096	206,096	206,096	846,424	824,382	22,042	102.7%
Fisheries Vehicle & Equip	6,505	13,125	13,125	13,125	45,880	52,500	(6,620)	87.4%
Fisheries Contract Labor	321	8,100	2,000	2,000	12,421	14,100	(1,679)	88.1%
Fisheries Material and Supplies	314	2,000	2,000	2,000	6,314	8,000	(1,686)	78.9%
Fisheries Other Expenses	222	875	875	2,375	4,347	10,000	(5,653)	43.5%
Fisheries Activities	38,302	40,500	40,500	50,500	169,802	172,000	(2,198)	98.7%
Fisheries Habitat Enhancement	137	5,000	10,000	5,000	20,137	25,000	(4,863)	80.6%
<b>Total Expense</b>	<b>\$ 366,940</b>	<b>\$ 379,366</b>	<b>\$ 374,766</b>	<b>\$ 379,516</b>	<b>\$ 1,500,587</b>	<b>\$ 1,533,913</b>	<b>\$ (33,326)</b>	<b>97.8%</b>
<b>Net Surplus / (Deficit)</b>	<b>\$ 51,894</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 51,894</b>	<b>\$ -</b>	<b>\$ 51,894</b>	

Note: Results are unaudited and subject to change.



# Financial Review

## Upcoming Deliverables – Administrative Division

- Complete FYE 06/30/23 Annual Financial Audit
- Complete FYE 06/30/23 GASB 74/75 OPEB Valuation
- Complete ACWA JPIA Health Insurance Open Enrollment
- Pursue Reimb of IRWMP / Congressional Direct Spending Grants
- Pursue FEMA Reimbursement for January 9<sup>th</sup> Storm Event

# Financial Review

**QUESTIONS?**

# CACHUMA OPERATION & MAINTENANCE BOARD

## BOARD MEMORANDUM

Date:	November 13, 2023
Submitted by:	Edward Lyons
Approved by:	Janet Gingras

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**SUBJECT:** License Agreement – U.S. Bureau of Reclamation

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**RECOMMENDATION:**

The Board of Directors review the proposed license agreement between the U.S. Bureau of Reclamation (Reclamation) and the Cachuma Operation and Maintenance Board (COMB) for the operation and maintenance of the Lake Cachuma Secured Pipeline Project on Reclamation acquired land and authorize the COMB General Manager to execute Contract No. 23-LC-20-3187.

**BACKGROUND:**

In the 1950s, Reclamation acquired certain lands for the construction of the Cachuma Project for the diversion, storage, carriage, and distribution of the Santa Ynez River and its tributaries for irrigation, municipal, industrial, domestic, and other uses. Shortly thereafter, Reclamation executed a contract with the Santa Barbara County Water Agency acting on behalf of the Cachuma Project Member Units for the purpose of receiving water from the Cachuma Project. Through a Transferred Project Works agreement executed with Reclamation, COMB is authorized to operate and maintain federally owned Cachuma Project Facilities. Over the past seventy years, the Cachuma Project has been the principal water supply for the Santa Ynez Valley and the South Coast Communities, delivering water to over 200,000 people.

**SUMMARY:**

In June 2014, in response to extreme drought conditions, COMB prepared a drought contingency plan. The plan called for the installation and deployment of a temporary emergency pumping platform and floating pipeline which delivered water to the Intake Tower during low water elevation conditions within Lake Cachuma. A portion of the plan was modified in November 2021 to transition the floating pipeline to a more secured pipeline facility. In February 2023, COMB completed the installation of the secured pipeline at Lake Cachuma. The secured pipeline was funded through COMB member assessments and grant funding.

In August 2023, in coordination with its Fiscal Year 2022-23 year-end financial audit, COMB initiated discussions with Reclamation staff related to capitalizing the assets COMB has paid for and installed within the federal easement. In response, Reclamation prepared a draft license agreement which outlines terms and conditions including compliance with environmental regulations and local, state and federal requirements. The license agreement provides COMB's auditors the documentation necessary to capitalize the asset.

The attached license agreement is presented to the Board of Directors for consideration and approval.

**FISCAL IMPACTS:**

Capitalizing certain COMB owned assets within Reclamation's easement which have been constructed and paid for by COMB will significantly improve COMB's financial position. Reclamation has waived all Use Fees for rights granted under the license agreement in accordance with Federal Code 43 CFR part 429.26, subpart F(a)(7).

**LEGAL CONCURRENCE:**

Legal Counsel has reviewed the proposed license agreement between Reclamation and COMB.

**LIST OF EXHIBITS:**

1. Secured Pipeline License Agreement - Contract No. 23-LC-20-3187

**UNITED STATES  
DEPARTMENT OF THE INTERIOR  
BUREAU OF RECLAMATION**

**LICENSE FOR THE OPERATION AND MAINTENANCE OF  
A PIPELINE AND PIPELINE FACILITIES ON RECLAMATION ACQUIRED LAND  
Lake Cachuma, Cachuma Project**

**THIS LICENSE** is provided pursuant to the Reclamation Act of 1902, dated June 17, 1902 (32 Stat. 388), and all other acts amendatory or supplementary thereto, including but not limited to Section 10(b) of the Reclamation Project Act of 1939, as amended, is entered into, by and between THE UNITED STATES OF AMERICA (United States), acting by and through its Department of the Interior, Bureau of Reclamation (Reclamation), by the duly authorized officer executing this License, to:

Cachuma Operation and Maintenance Board (COMB)  
3301 Laurel Canyon Rd  
Santa Barbara, CA 93105

hereinafter collectively referred to as the "Licensee."

**RECITALS**

**WHEREAS**, Reclamation acquired certain lands for the construction of the Cachuma Project, for diversion, storage, carriage, and distribution of the Santa Ynez River and its tributaries for irrigation, municipal, industrial, domestic, and other uses, in Santa Barbara County, California; and

**WHEREAS**, on March 1<sup>st</sup>, 2003, the Licensee and Reclamation entered into Contract No. 14-06-200-5222R which, as amended, authorizes the Licensee to serve as the Operating Non-Federal Entity, for the operation and maintenance (O&M) of the following Cachuma Project Facilities: Tecolote Tunnel Intake Tower (Intake Tower), Tecolote Tunnel, South Coast Conduit, and four regulating reservoirs; and

**WHEREAS**, on June 13, 2014, the Licensee, in response to extreme drought conditions, prepared a plan entitled, “*Drought Contingency Plan*” (Drought Plan) in order to deploy a temporary emergency pumping platform and floating pipeline which delivered water to the Intake Tower, during low water elevation conditions within Lake Cachuma. The Drought Plan was modified on **November 30, 2021**, to transition from temporary to more secured facilities; and

**WHEREAS**, on May 17<sup>th</sup>, 2022, the Licensee obtained an MP-620 from Reclamation for the construction of permanent facilities, hereinafter referred to as the Secured Pipeline Project (Project), which consists of a floating pump station connection and approximately 4,000 feet of 36-inch subsurface pipeline. The Secured Pipeline Project was purchased and constructed by COMB, pursuant to a contract, and has the capability to deliver water directly to the Intake Tower during low water elevation conditions. COMB completed construction of the Project on **February 15, 2023**; and

**WHEREAS**, the Licensee has requested authorization from Reclamation to continue O&M the Project on Reclamation land,

**WHEREAS**, Reclamation has determined that the Licensee’s Project is not, at this time, incompatible with the purpose for which the land was obtained; and

**NOW THEREFORE**, in consideration of these mutual agreements and, subject to the terms and conditions herein contained, Reclamation and the Licensee hereby agree as follows:

### **LICENSE**

1. **LICENSED PREMISES**. Subject to the following terms and conditions, the United States issues to the Licensee, without warranty of title, a non-exclusive License for access on, over, and across Reclamation’s land, to O&M the Project within a portion of said land acquired by the United States for the Cachuma Project, hereinafter known as the as “Licensed Premises,” and shown on “*Exhibit A*,” attached hereto and made a part hereof.
2. **TERM**. This License is personal, revocable, and nontransferable and will become effective on the date signed and executed by Reclamation below and, unless otherwise sooner terminated, will continue for **twenty-five (25) years**, and will continue so long as in the opinion of Reclamation it is considered expedient and not detrimental to the public interest, and will be revocable in accordance with the provisions in Article 9. Upon such revocation or termination, the aforesaid Project will be removed without delay at the expense of the Licensee. The Licensee will leave the site in a condition satisfactory to Reclamation.
3. **OPTION TO EXTEND TERM**. This License may be extended, at the discretion of Reclamation, for subsequent additional License term(s) of twenty-five (25) years. If the Licensee desires to exercise the renewal option, the Licensee shall submit, in writing, their desire to extend

the License into the next term at least two (2) years in advance to the expiration of the current License term. If the option to extend the term is approved, Reclamation will provide written approval to Licensee. If proper notification of the desire to extend the License into the next term extension period is not given to Reclamation in the above-specified manner, the License will expire at the end of the current twenty-five (25) year term.

**4. HOLD HARMLESS CLAUSE.** The Licensee hereby agrees to indemnify the United States, and all of its representatives harmless from, all damages resulting from suit actions, or claims of any character, brought on account of any injury to any person or property arising out of any act, omission, neglect, or misconduct in the manner or method of performing any construction, care, operation, maintenance, supervision, examination, inspection, or other activities of the Licensee.

**5. O&M STANDARDS.**

(a) The Licensee, at its sole expense, shall O&M the Project and shall keep it, and its related appurtenances, in good and safe condition to the reasonable satisfaction of the Reclamation. Additional construction, relocation, or use of the Licensed Premises, which is not in accordance with this License, shall not be initiated without prior written approval of the United States; and

(b) Reclamation reserves the right of their officers, agents, and employees, at all times, to inspect the Project during O&M activities. If Licensee fails to maintain the Project to the satisfaction of Reclamation and in accordance with local, state, and federal requirements, Reclamation reserves the right to terminate this License in accordance with Article 9; and

(c) O&M of the Project shall be accomplished to the satisfaction of Reclamation, by and at the sole expense of the Licensee, and in compliance with plans and specifications which have been reviewed, approved, and submitted to Reclamation as per the MP-620; and

(d) O&M of the Project shall be conducted in accordance with all applicable federal, state, and local safety and environmental regulations. Licensee shall execute and maintain its work so as to avoid injury or damage to any person or property. All work shall be done in conformance with applicable State of California, Division of Occupational Safety and Health (DOSH), Occupational Safety and Health Administration Standards; and

(e) During O&M authorized by this License, if a situation develops as a direct result of Licensee's activities on the Licensed Premises which, in the reasonable opinion of Reclamation, presents a threat to the safety of Reclamation property, facilities, or of any person, Licensee shall take immediate action to eliminate the threat. In the event Licensee does not immediately provide the necessary protection, Reclamation reserves the right, after notifying Licensee, to take such action as may be necessary to eliminate the immediate threat, and

Licensee, upon receipt of an itemized statement, shall reimburse Reclamation for all such costs; and

(f) The Licensee shall ensure access to all existing Reclamation facilities during O&M of the Project and coordinate any closing of access with Reclamation; and

(g) Any damage caused by the Licensee to Reclamation facilities, including damage to surrounding property, shall be at the sole cost of the applicant. The Licensee shall promptly repair any damage it causes to Reclamation facilities including but not limited to: service roads, access roads, culvert crossings, bridges, fences, gates, posts, and equipment. Any construction, alterations, and repairs to Reclamation facilities shall be in accordance with plans previously submitted by the Licensee and approved by Reclamation; and

(h) No signs or any form of commercial advertisement will be posted in, on, or around the Licensed Premises without Reclamation's written permission, excepting those required for Project and worker safety purposes; and

(i) Licensee accepts the Licensed Premises "as is" and acknowledges that Reclamation is under no obligation to improve the present condition of the Licensed Premises prior to, during, or after construction of the Project.

**6. LIMITATION ON RIGHTS GRANTED.** This License is granted subject to existing rights in favor of the public or third parties for highways, roads, railroads, telegraph, telephone and electrical transmission lines, canals, laterals, ditches, flumes, siphons, and pipelines on, over, and across the Licensed Premises. The Licensee recognizes that it has sole responsibility to make whatever arrangements are necessary to obtain such rights, as may be needed by the Licensee, from any other party or parties holding any other interest in the Licensed Premises.

**7. COVENANTS.** The Licensee shall not:

(a) Store any hazardous material on Reclamation land acquired for the Cachuma Project.

(b) Leave waste and debris on ~~the~~ Reclamation land.

**8. USE FEE.** In accordance with 43 CFR Part 429.26, Subpart F (a) (7), all Use Fees for the rights granted herein have been waived. After this License has been issued, periodic field reviews will be conducted to monitor the use to ensure it is in compliance with the provisions of this License.

**9. TERMINATION CLAUSES.** Any activity deemed to be illegal on federal lands will be cause for immediate termination of this License. This License will terminate, and all rights of the Licensee hereunder will cease, and the Licensee will quietly deliver to the United States



possession of the Licensed Premises in like condition as when taken, reasonable wear and damage by the elements excepted:

(a) The United States, acting through Reclamation, Department of the Interior, reserves rights to construct, operate, and maintain public works now or hereafter authorized by the Congress without liability for termination of this License or other damage to the Licensee's activities or facilities.

(b) Reclamation may, at any time and at no cost or liability to the United States, terminate any use authorization in the event of a natural disaster, a national emergency, a need arising from security requirements, or an immediate and overriding threat to public health and safety.

(c) Reclamation may, at any time and at no cost or liability to the United States, terminate any use authorization for activities other than existing authorized private exclusive recreational or residential use as defined under § 429.2 if Reclamation determines that any of the following apply:

- i. The use has become incompatible with authorized project purposes, project operations, safety, and security; or
- ii. A higher public use is identified through a public process described at § 429.32(a)(1); or
- iii. Termination is necessary for operational needs of the project.

(d) Reclamation may, at any time and at no cost or liability to the United States, terminate any use authorization if Reclamation determines that the Licensee has failed to use the use authorization for its intended purpose. Further, failure to construct within the timeframe specified in the terms of the use authorization may constitute a presumption of abandonment of the requested use and cause termination of the use authorization.

(e) Reclamation may, at any time and at no cost or liability to the United States, terminate any use authorization if the Licensee fails to comply with all applicable federal, state, and local laws, regulations, ordinances, or terms and conditions of any use authorization, or to obtain any required permits or authorizations.

(f) At the expiration of the term as provided by Article 2; or,

- i. Without notice, upon default in payment to the United States of any installment of use fee charges as provided by Article 8; or,
- ii. On date, of any year, upon written notice to Licensee, served ten (10) days in advance thereof; or,

iii. After failure of the Licensee to observe any of the conditions of this License, and on the tenth day following service of written notice on the Licensee of termination because of failure to observe such condition.

(g) The notices provided by this Article will be served by certified mail addressed to the respective post office addresses given in Article 19 and the mailing of any such notice properly enclosed, addressed, stamped, and certified, will be considered service. If the termination under this Article 9 (f.(ii)) or Article 9 (f.(iii)) should be effective at a date prior to the date of the termination of the then-current License, for which prepayment of use fees will have been made, an appropriate refund or part of the use fee for such then-current License will be made.

(h) If this License is terminated under Article 9 (f.(iii)), the United States reserves the right to bar the Licensee from the authorization to use acquired or withdrawn public land on the Project for a period of time, as determined by Reclamation's Area Manager for the South-Central California Area Office (Area Manager).

**10. HEALTH AND SAFETY STANDARDS.** The Licensee and its contractors shall at all times exercise all necessary precautions for the safety of employees appropriate to the nature of the work and the conditions under which the work is to be performed, and shall comply with all applicable federal, state and local statutory and regulatory requirements including California Department of Industrial Relations (Cal/OSHA) regulations.

**11. HAZARDOUS MATERIALS.** During the term of this License, the Licensee and/or its contractor(s) hereby agree as follows:

(a) The Licensee may not allow contamination or pollution of federal land, facilities, and waterbodies and for which the Licensee has the responsibility for care, operation, and maintenance by its employees or agents and shall take reasonable precautions to prevent such contamination or pollution by third parties. Substances causing contamination or pollution shall include, but are not limited to, hazardous materials, thermal pollution, refuse, garbage, sewage effluent, industrial waste, petroleum products, mine tailings, mineral salts, misused pesticides, pesticide containers, or any other pollutants.

(b) The Licensee shall comply with all applicable federal, state, and local laws and regulations, and Reclamation policies and directives and standards, existing or hereafter enacted or promulgated, concerning any hazardous material that will be used, produced, transported, stored, or disposed of on or in federal lands, waters or facilities.

(c) "Hazardous material" means any substance, pollutant, or contaminant listed as hazardous under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. § 9601, et seq., and the regulations promulgated pursuant to that Act.

(d) Upon discovery of any event which may or does result in contamination or pollution of federal lands, waters or facilities, the Licensee shall initiate any necessary emergency measures to protect health, safety and the environment and shall report such discovery and full details of the actions taken to the Area Manager. Reporting may be within a reasonable time period. A reasonable time period means within twenty-four (24) hours of the time of discovery if it is an emergency or by the first working day if it is a non-emergency. An emergency is any situation that requires immediate action to reduce or avoid endangering public health and safety or the environment.

(e) Violation of any of the provisions of this Article, as determined by the Area Manager, may constitute grounds for termination of this License. Such violations require immediate corrective action by the Licensee and shall make the Licensee liable for the cost of full and complete remediation and/or restoration of any federal resources or facilities that are adversely affected as a result of the violation.

(f) The Licensee agrees to include the provisions contained in paragraphs (a) through (f) of this Article in any subcontract or third-party contract it may enter into pursuant to this License.

(g) Reclamation agrees to provide information necessary to enable the Licensee, using reasonable diligence, to comply with the provisions of this Article.

**12. DISCOVERY OF CULTURAL RESOURCES.** The Licensee shall immediately provide an oral notification of the discovery of any and all antiquities or other objects of archaeological, paleontological, cultural, historic, or scientific interest on Reclamation land to Reclamation's Regional Archaeologist at (916) 978-5028. Objects under consideration include, but are not limited to, historic or prehistoric ruins, human remains, funerary objects, and artifacts discovered as a result of activities under this authorization. The Licensee shall forward a written report of its findings to Reclamation's Regional Archaeologist within forty-eight (48) hours by certified mail. The Licensee shall immediately cease the activity in the area of the discovery, make a reasonable effort to protect such discovery, and wait for written approval from Reclamation's Regional Archaeologist before resuming the activity. Protective and mitigative measures specified by Reclamation's Regional Archaeologist shall be the responsibility of the Licensee.

**13. DISCOVERY OF HUMAN REMAINS.** The Licensee shall immediately provide an oral notification of the discovery of human remains on Reclamation land to Reclamation's Regional Archaeologist at (916) 978-5028. The Licensee shall forward a written report of its findings to Reclamation's Regional Archaeologist within 48 hours by certified mail. The Licensee shall immediately cease activity, stabilize, and protect such discoveries until authorized to proceed by Reclamation's Regional Archaeologist. Protective and mitigative measures specified by Reclamation's Regional Archaeologist shall be the responsibility of the Licensee.

**14. ENVIRONMENTAL COMMITMENTS.** An Environmental Assessment, “Secured Pipeline Project for the Lake Cachuma Emergency Pumping Facility” (CEC-16-051) has been prepared for this Project. The Licensee shall comply with the terms and conditions of CEC-16-051, and any other successor or then-current applicable environmental compliance document. Environmental Commitments have been identified and are listed in the Environmental Commitment Table. The Licensee shall comply with all environmental commitments as specified therein. Please direct any questions or comments regarding the Environmental Commitments to Reclamation’s Supervisory Natural Resource Specialist at (559) 262-0300.

**15. UNRESTRICTED ACCESS.** Reclamation reserves the right of their officers, agents, and employees at all times to have unrestricted access and ingress to, passage over, and egress from all of said lands, to make investigations of all kinds, dig test pits and drill test holes, to survey for and construct reclamation and irrigation works and other structures incidental to federal Reclamation projects, or for any purpose whatsoever. Reclamation will make every reasonable effort to keep damages to a minimum.

**16. REMOVAL OF STRUCTURES.** Unless otherwise agreed upon by the parties at the time, upon the expiration, termination, or revocation of this License, if all use fee charges and damage claims due Reclamation have been paid, the Licensee shall remove all structures, equipment, or other improvements made by it from the premises at no cost to the United States. Upon failure to remove any such improvements within sixty (60) days of expiration, termination, or revocation, any remaining improvements shall, at the option of the United States, be removed or become the property of the United States. The Licensee shall pay all expenses of the United States, or its assigns, related to removal of such improvements.

**17. SEVERABILITY.** Each provision of this License shall be interpreted in such a manner as to be valid under applicable law, but if any provision of this License shall be deemed or determined by competent authority to be invalid or prohibited hereunder, such provision shall be ineffective and void only to the extent of such invalidity or prohibition but shall not be deemed ineffective or invalid as to the remainder of such provision or any other remaining provisions, or of this License as a whole.

**18. OFFICIALS NOT TO BENEFIT.** No Member of Congress shall be admitted to any share or part of any contract or agreement made, entered into, or accepted by or on behalf of the United States, or to any benefit to arise thereupon.

**19. NOTICES.**

(a) Any notice, demand, or request required or authorized by this License to be given

or made to or upon the United States shall be deemed properly given or made if delivered or mailed postage-prepaid to the Area Manager, Bureau of Reclamation, South-Central California Area Office, 1243 “N” Street, Fresno, CA 93721-1813.

(b) Any notice, demand, or request required or authorized by this License to be given or made to or upon the Licensee shall be deemed properly given or made if delivered or mailed postage-prepaid to the General Manager, Cachuma Operations and Maintenance Board, 3301 Laurel Canyon Road, Santa Barbara CA 93105-2017, CA 93635-1231.

(c) The designation of the person to or upon whom any notice, demand, or request is to be given or made, or the address of such person may be changed at any time by notice given in the same manner as provided in this Article for other notices.

**20. NO WARRANTY.** The United States makes no warranty, expressed or implied, as to the extent or validity of the grant contained herein, or the condition at any time of the Licensed Premises, or any of the property of the United States thereon, or the suitability of the Licensed Premises for the purposes of the Licensee.

**21. COVENANT AGAINST CONTINGENT FEES.** The Licensee warrants that no person or agency has been employed or retained to solicit or secure this agreement upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established agencies maintained by the Licensee for the purpose of securing business. For breach or violation of this warranty, the United States shall have the right to annul this agreement without liability or in its discretion to require the Licensee to pay, in addition to the use authorization price or consideration, the full amount of such commission, percentage, brokerage, or contingent fee.

**IN WITNESS WHEREOF** this License is given as of the date of execution written below.

**UNITED STATES OF AMERICA**

\_\_\_\_\_  
Area Manager  
South-Central California Area Office  
Bureau of Reclamation

\_\_\_\_\_  
Date

**ACCEPTED:**

The Licensee, by signature below, agrees to the terms and conditions above.

\_\_\_\_\_  
General Manager  
Cachuma Operation and Maintenance Board

\_\_\_\_\_  
Date

# CACHUMA OPERATION & MAINTENANCE BOARD

## BOARD MEMORANDUM

Date:	November 13, 2023
Submitted by:	Joel Degner/Tim Robinson
Approved by:	Janet Gingras

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**SUBJECT:** Resolution No. 794 - Ratification of Contract Expenditures – Rain-For-Rent Rental Equipment

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**RECOMMENDATION:**

The Board of Directors review the staff report and associated costs for equipment rentals procured by COMB for assistance to Reclamation during their operation for replacement of the Outlet Works 30” cone valve and testing of the four Bradbury Dam radial gates and:

- a) Approve a budget adjustment in the amount of \$140,000 to be reclassified from the SCC Structure Rehabilitation line item (acct. No. 6096) to a Special Projects line item (acct. No. 6055).
- b) Adopt Resolution No. 794 to ratify expenditures associated with the Purchase Order Construction Contract with Rain-For-Rent in an amount up to \$140,000.

**SUMMARY:**

On October 18<sup>th</sup>, Reclamation provided staff detailed operational plans to re-install the repaired 30” cone valve that was damaged during the mud flow events of January 2023. In order to install the re-furbished valve, the slide gate in the penstock would have to be closed, thereby eliminating the ability for Reclamation to release target flows through the penstock. The target flows would have to be achieved through an alternate method.

Reclamation also recently installed four (4) new clutch assembly components as maintenance for operation of the Bradbury Dam radial gates. The four radial gates now need to be exercised and tested for operational stability.

Reclamation’s plan for meeting Table 2 target flows downstream suggested using the testing of the radial gates to deliver target flows while closing the penstock to install the 30” cone valve. COMB staff suggested that Reclamation separate the two maintenance activities and consider installing the 30” refurbished cone valve separately from the radial gate testing by using a pump system to provide for target flows.

Prior to installing the 30” cone valve, the stilling basin would be used to provide target flows by pumping water out of the stilling basin and downstream to the mainstem. This would cause the stilling basin to recede. This pumping activity would take place for approximately 48 - 72 hours while Reclamation completed the installation of the cone valve. Once the cone valve was tested and operational, target flows would be met by utilizing the pumps until the stilling basin was re-filled (by testing the radial gates) and operations can return to normal out of the outlet works. The advantage of this method controls the quantity of project water used for testing and maintenance activities performed by Reclamation.

COMB staff previously collaborated with and assisted Reclamation in dewatering the stilling basin in June 2017 (predator removal) and also in providing target flows through pumping in May 2021 when Reclamation removed one of the outlet works valves. With the short noticed timeframe for Reclamations' valve installation project, COMB assisted Reclamation by expeditiously contracting with Rain-for-Rent to provide the necessary equipment needed for Reclamation's alternate operation.

The cost of contracting with Rain-for-Rent was approximately \$140,000. The procurement of rental equipment for Reclamation became time-sensitive and urgent due to Reclamation's imminent schedule to complete the installation and maintenance before winter rains. Prior to executing the contract, staff consulted and received approval from the COMB Board President to continue pursuing the rental equipment option needed for the alternate effort. Resolution No. 794 is presented to the Board for adoption.

**FISCAL IMPACTS:**

The current fiscal year operating budget contains funding for the South Coast Conduit (SCC) Structure Rehabilitation project in the amount of \$200,000. It has been determined by engineering staff that the AVAR/BO Rehabilitation project will NOT be performed this fiscal year due to the need for installation of significant by-pass piping by Carpinteria Valley Water District (CVWD). CVWD is in the midst of preparing for the lateral rehabilitation project which is continuing through the Cooperative Agreement between COMB and CVWD. A budget adjustment is proposed to reclassify \$140,000 from the SCC Structure Rehabilitation line item (account No. 6096) to the Bradbury Dam Outlet Works (Special Projects) line item (account No. 6055)

**LEGAL CONCURRENCE:**

Resolution No. 794 has been reviewed by General Counsel.

**LIST OF EXHIBITS:**

1. Resolution No. 794



## RESOLUTION NO. 794

### A RESOLUTION OF THE GOVERNING BOARD OF THE CACHUMA OPERATION AND MAINTENANCE BOARD RATIFYING CONTRACT EXPENDITURES FOR TIME-SENSITIVE EQUIPMENT RENTAL FOR THE U.S. BUREAU OF RECLAMATION TO PERFORM NECESSARY CRITICAL MAINTENANCE ACTIVITIES AT BRADBURY DAM

#### RECITALS

**WHEREAS**, the Cachuma Operation & Maintenance Board (“COMB”) is a joint powers authority and public entity, organized and existing in the County of Santa Barbara in accordance with Government Code Section 6500 *et seq.*, and operating pursuant to the 1996 Amended and Restated Agreement for the Establishment of a Board of Control to Operate and Maintain the Cachuma Project - Cachuma Operation And Maintenance Board, dated May 23, 1996 (“Amended and Restated Agreement”), as amended by an Amendment to the Amended and Restated Agreement made effective September 16, 2003, and a Second Amendment to the Amended and Restated Agreement made effective November 20, 2018 (collectively the “Joint Powers Agreement”); and

**WHEREAS**, the Member Agencies of COMB are the Goleta Water District, the City of Santa Barbara, the Montecito Water District, and the Carpinteria Valley Water District; and

**WHEREAS**, COMB operates and maintains Cachuma Project facilities pursuant to a Transfer of Operation and Maintenance Contract with the United States Bureau of Reclamation (“Reclamation”); and

**WHEREAS**, in August, 1997, the National Marine Fisheries Service (“NMFS”) listed anadromous Southern California Steelhead (“Steelhead”) in the Southern California Evolutionarily Significant Unit, including the Lower Santa Ynez River (“LSYR”) and basin downstream of Bradbury Dam, as an endangered species under the Federal Endangered Species Act (“ESA”) and, completed and issued on September 11, 2000, a Biological Opinion (“2000 BiOp”) relative to Cachuma Project operations, as they relate to Steelhead; and

**WHEREAS**, in 2019, the California State Water Resources Control Board issued Water Rights Order (“WRO”) 2019-0148 to the United States for the operation of the Cachuma Project that required further monitoring, analysis and reporting tasks in support of the *O. mykiss* population in the LSYR basin.

**WHEREAS**, Reclamation requests that COMB conduct all monitoring, analyses and reporting required in the 2000 BiOp, the WRO 2019-0148, and any requirements associated with the listing of Steelhead. This requires COMB to work in collaboration with Reclamation to expediently accomplish all 2000 BiOp compliance-related activities; and

**WHEREAS**, COMB is committed to implement and cooperate in Cachuma Project operations and other management actions designed to protect and enhance habitat conditions for Steelhead in the Santa Ynez River and its tributaries downstream of Bradbury Dam; and

**WHEREAS**, on October 18, 2023, Reclamation notified COMB of a necessary maintenance activity scheduled to begin on November 7, 2023, which included the re-installation of a 30” refurbished cone valve (“Cone Valve Reinstallation Project”) at the Bradbury Dam Outlet Works and testing of the four (4) radial gates on Bradbury Dam; and

**WHEREAS**, in order to perform this maintenance activity, Reclamation proposed to utilize the testing of the Bradbury Dam radial gates during the Cone Valve Reinstallation Project in order to provide the necessary water flow targets downstream of the Dam; and

**WHEREAS**, COMB staff suggested, and Reclamation agreed to perform, an alternate water pumping operation method during the maintenance and testing activities undertaken by Reclamation as part of the Cone Valve Reinstallation Project, which would better conserve Cachuma Project water, as well as provide sustainable water flows for the fishery, while also meeting downstream target flows; and

**WHEREAS**, prior to the initiation of the Cone Valve Reinstallation Project, COMB’s Board President authorized COMB staff to proceed procuring the rental equipment necessary for this time-sensitive, alternate water pumping operation, which was a critically important water conservation and fishery protection activity to be performed by Reclamation; and

**WHEREAS**, COMB staff contracted with Rain-For-Rent to procure the rental equipment necessary for Reclamation to perform the alternate water operation activities during the Cone Valve Reinstallation Project; and

**WHEREAS**, a contract was executed on November 6, 2023 between COMB and Rain-For-Rent in the amount of \$140,000 to provide the equipment necessary for Reclamation to conduct the alternate water pumping operation during the Cone Valve Reinstallation Project; and

**WHEREAS**, Reclamation has successfully performed the alternate water pumping operation during the Cone Valve Reinstallation Project in order to meet target water flows, the installation of the 30” cone valve and the testing of the radial gates; and

**WHEREAS**, section 11(c) of the COMB Procurement Policy provides that the COMB General Manager will submit written documentation to the full COMB Governing Board for ratification of certain expenditures.

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Directors of the Cachuma Operation and Maintenance Board as follows:

1. The Governing Board finds and determines that the facts set forth in the above recitals and in the documents referenced herein are true and correct.
2. The Governing Board hereby approves and ratifies the expenditures associated with the Purchase Order Construction Contract executed with Rain-For-Rent for Equipment Rentals in the amount of \$140,000 relating to the Cone Valve Reinstallation Project.
3. The above recitals are incorporated herein by reference and adopted as findings.
4. This Resolution shall take effect immediately.

**PASSED, APPROVED AND ADOPTED** by the Governing Board of the Cachuma Operation and Maintenance Board, this 13th day of November 2023, by the following roll call vote:

AYES:

NAYES:

ABSENT:

ABSTAIN:

**APPROVED:**

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President of the Board

**ATTEST:**

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Secretary of the Board

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Mission Statement:

*“To provide a reliable source of water to our member agencies in an efficient and cost effective manner for the betterment of life in our communities.”*

November 13, 2023

**General Manager Report**

The following summary provides the Board with information and an overview of progress on current COMB activities.

Administration

- **Fiscal Year 2023 – 24 COMB Operating Budget GFOA Distinguished Budget Award**

Staff received notification that COMB’s FY 2023-24 Operating Budget document has been awarded the Distinguished Budget Presentation Award from the GFOA (see attached). This award is the highest form of recognition in governmental budgeting. This is the fourth year COMB applied for and achieved this prestigious award. In order to receive the award, COMB had to satisfy nationally recognized guidelines for effective budget preparation. The guidelines are designed to assess how well an entity’s budget serves as:

- a policy document
- a financial plan
- an operations guide
- a communications device

The Budget document was reviewed by three independent examiners and subsequently rated “proficient” in all four categories, and in fourteen mandatory criteria within those categories, in order to receive the award. These awards are presented to encourage and assist state and local governments to prepare budget documents of the very highest quality that reflect both the guidelines established by the National Advisory Council on State and Local Budgeting and the GFOA's best practices on budgeting and then to recognize individual governments that succeed in achieving that goal.

Virtual Meetings

- **Santa Barbara County Integrated Regional Water Management Plan (IRWMP) Meeting**

Staff participated in the kick-off meeting for the Proposition 1, Round 2 IRWMP grant funding reimbursement process. Jane Gray from Dudek explained the process and timing of reimbursement deliverables based on a quarterly schedule. Since COMB’s project has been completed, staff will provide the necessary deliverables including a final reimbursement request and project completion report by November 27<sup>th</sup> to Dudek who will then review with the County and submit to DWR for reimbursement by November 30<sup>th</sup>, which is the 1<sup>st</sup> quarterly cutoff date. Grant reimbursement funding will follow after review and approval by DWR.

- **Santa Barbara County Office of Emergency Management (OEM) Meeting**

The County OEM and hired contractors are continuing to work on the update of the Santa Barbara County Operational Area (OA) Emergency Operations Plan (EOP), which is scheduled to have the first complete administrative draft done by no later than the end of the calendar year. During the November meeting, the County OEM staff conducted a facilitated discussion regarding an overview of the plan, defining terminology, challenges agencies may be facing, recovery efforts, internal processes, data sharing during an emergency, and communication between OEM and jurisdictions within the County.

Respectfully submitted,

*Janet Gingras*  
General Manager



GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished  
Budget Presentation  
Award*

PRESENTED TO

**Cachuma Operation and Maintenance Board  
California**

For the Fiscal Year Beginning

**July 01, 2023**

*Christopher P. Morrill*

Executive Director



**The Government Finance Officers Association  
of the United States and Canada**

*presents this*

**CERTIFICATE OF RECOGNITION FOR BUDGET PREPARATION**

*to*

**Finance Department  
Cachuma Operation and Maintenance Board, California**



*The Certificate of Recognition for Budget Preparation is presented by the Government Finance Officers Association to those individuals who have been instrumental in their government unit achieving a Distinguished Budget Presentation Award. The Distinguished Budget Presentation Award, which is the highest award in governmental budgeting, is presented to those government units whose budgets are judged to adhere to program standards.*

Executive Director

*Christopher P. Morill*

Date: **October 25, 2023**

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November 2023

Day <sup>1</sup>	Lake Cachuma				Rainfall		Evaporation <sup>3</sup>		CCWA Inflow	Release					Computed Inflow <sup>5</sup>	
	Elevation	Storage <sup>2</sup>	Change in Storage	Surface Area						Park Use	Tunnel	Hilton Creek	WR 89-18	Outlet <sup>4</sup>		Spillway
SHEF Tag→	HL	LS	LC		PP	PPAF	EV	EVAF	QICWA		QUTEC	QUHIL	QUWATR	QU	QS	QI
	ft	acre-feet	acre-feet	acres	inches	acre-feet	inches	acre-feet	acre-feet	acre-feet	acre-feet	acre-feet	acre-feet	acre-feet	acre-feet	acre-feet
31	747.42	176,067														
1	747.38	175,950	(117.5)	2,932.7	-	-	0.180	31.67	11.97	-	47.41	13.10	-	18.00	-	(19.26)
2	747.34	175,833	(117.5)	2,931.3	-	-	0.170	29.90	11.98	-	46.54	13.11	-	18.00	-	(21.90)
3	747.30	175,715	(117.5)	2,929.9	-	-	0.190	33.40	0.48	-	48.32	13.09	-	15.00	-	(8.14)
4	747.27	175,627	(88.1)	2,928.9	-	-	0.220	38.66	-	-	47.12	13.10	-	14.00	-	24.78
5	747.23	175,509	(117.5)	2,927.5	-	-	0.150	26.35	-	-	46.69	13.59	-	14.00	-	(16.85)
6	747.20	175,421	(88.1)	2,926.5	-	-	0.110	19.31	-	-	49.66	13.06	-	14.00	-	7.93
7	747.17	175,333	(88.1)	2,925.5	-	-	0.110	19.31	7.11	-	47.41	13.05	-	14.00	-	(1.45)
8	747.14	175,245	(88.1)	2,924.4	-	-	0.180	31.58	-	-	41.03	13.09	-	13.00	-	10.60
9	747.12	175,186	(58.7)	2,923.8	-	-	0.130	22.81	-	-	29.91	13.05	-	-	-	7.03

<b>Total</b>			<b>(881.03)</b>		-	-	<b>1.440</b>	<b>252.99</b>	<b>31.54</b>	-	<b>404.07</b>	<b>118.24</b>	-	<b>120.00</b>	-	<b>(17.26)</b>
<b>Minimum</b>	747.12	175,186	(117.47)	2,923.76	-	-	0.110	19.31	-	-	29.91	13.05	-	-	-	(21.90)
<b>Average</b>	747.24	175,536	(97.89)	2,927.83	-	-	0.160	28.11	3.50	-	44.90	13.14	-	13.33	-	(1.92)
<b>Maximum</b>	747.38	175,950	(58.74)	2,932.66	-	-	0.220	38.66	11.98	-	49.66	13.59	-	18.00	-	24.78

Comments

1. Data based on 24-hour period ending 0800
2. Storage volume based on 2021 bathymetric survey.
3. Evaporation in inches is the measured pan evaporation. Calculated evaporation in acre feet uses the November pan factor: 72%
4. Indicated outlet release includes any leakage around gates.
5. Computed inflow is the sum of change in storage, releases, and evaporation minus precip on the reservoir surface and CCWA inflow.



**Santa Barbara County Parks Division,  
Cachuma Lake Recreation Area  
Summary of Aquatic Invasive Species Vessel Inspection Program  
and Early Detection Monitoring Program: October 2023**



<b>Cachuma Lake Recreation Area Launch Data -- October 2023</b>		
<b>Inspection Data</b>		
Total Vessels Entering Park	490	
Total Vessels Launched	467	
Total Vessels Quarantined	23	
Returning (Tagged) Boats Launched	363	78%
Kayak/Canoe: Inspected, launched	104	22%
4-stroke Engines	*	
2-strokes, w/CARB star ratings	*	
2-strokes, NO emissions ratings	*	
<b>Quarantine Data</b>		
Total Vessels Quarantined	23	
Quarantined 14 days	*	
Quarantined 30 days	23	
<b>Quarantine Cause</b>		
Water on vessel*	*	
Debris on hull*	*	
Plug installed*	*	
From infected county	2	
Ballast tanks*	*	
Boat longer than 24 feet*	*	
Out-of-state	0	
Unspecified*	*	
Mandatory Quarantine All Untagged Boats	23	
<b>Demographic Data</b>		
Quarantined from infected county	2	
Quarantined from SB County	20	
Quarantined from uninfected co	1	

Boat Launch Tags: Boats with Cachuma Lake Boat Launch Tags attach boat to trailer.

No mussel species have been located on any vessel entering Cachuma Lake as of the last day of this month.

\* These conditions are no longer being tracked.

**EARLY DETECTION MONITORING PROGRAM SUMMARY**

Summary: No Dreissenid Mussels were detected, nor Aquatic Invasive Species of any kind.  
 Inspection Site: Cachuma Lake Reservoir, Santa Barbara County, California.  
 Plankton Tow Inspection Dates: 2023.10.20 and 2023.10.30  
 Artificial Substrate & Surface Survey Date: 2023.10.30  
 Method: 4 Artificial Substrate Stations; 13 meters/42.65 linear feet of line as well as ramp, dock, anchor, etc.  
 Surveyors: COSB, Parks Division Quagga Grant Staff (P. Medel).  
 Lake elevation: Max feet: 753.00, current 747.78; Max acre-feet: 192,978, current: 177,124;  
 Capacity: 91% At of the end of the survey month.